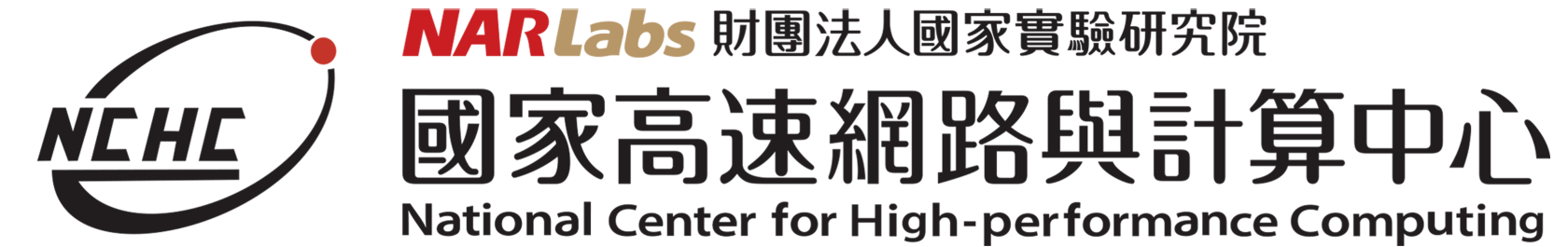


# Hopes and challenges in modern planet formation and evolution

Min-Kai Lin

May 2023





# The era of exoplanet sciences

**30%**  
**GAS GIANT**

The size of Saturn or Jupiter (the largest planet in our solar system), or many times bigger. They can be hotter than some stars!

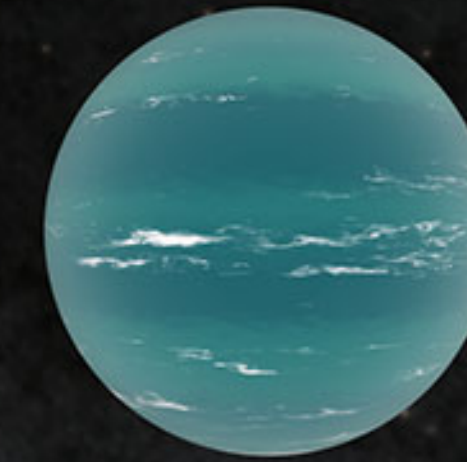
**31%**  
**SUPER-EARTH**

Planets in this size range between Earth and Neptune don't exist in our solar system. Super-Earths, a reference to larger size, might be rocky worlds like Earth, while mini-Neptunes are likely shrouded in puffy atmospheres.



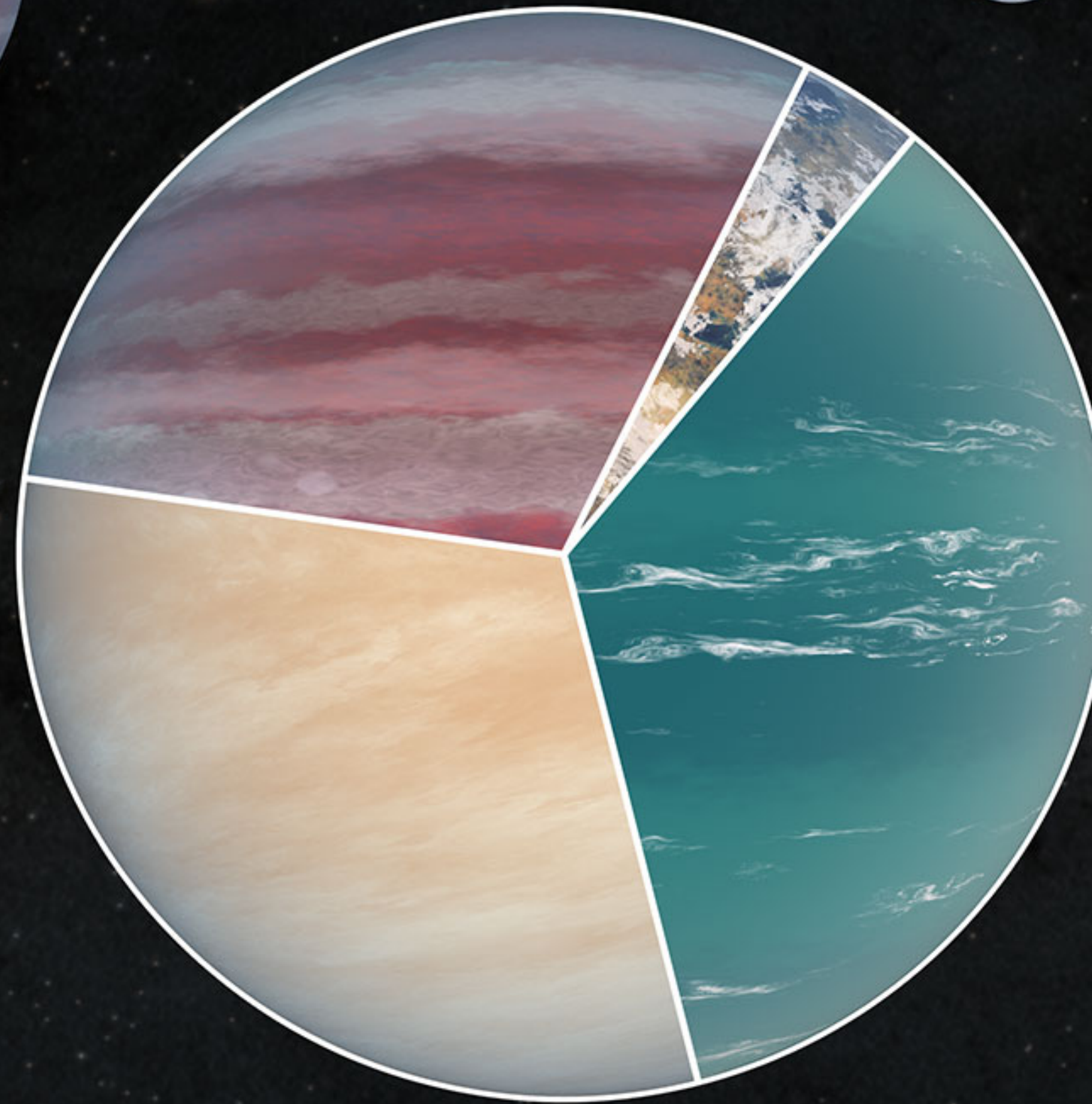
**4%**  
**TERRESTRIAL**

Small, rocky planets. Around the size of our home planet, or a little smaller.



**35%**  
**NEPTUNE-LIKE**

Similar in size to Neptune and Uranus. They can be ice giants, or much warmer. "Warm" Neptunes are more rare.



**5000+**  
**PLANETS FOUND**

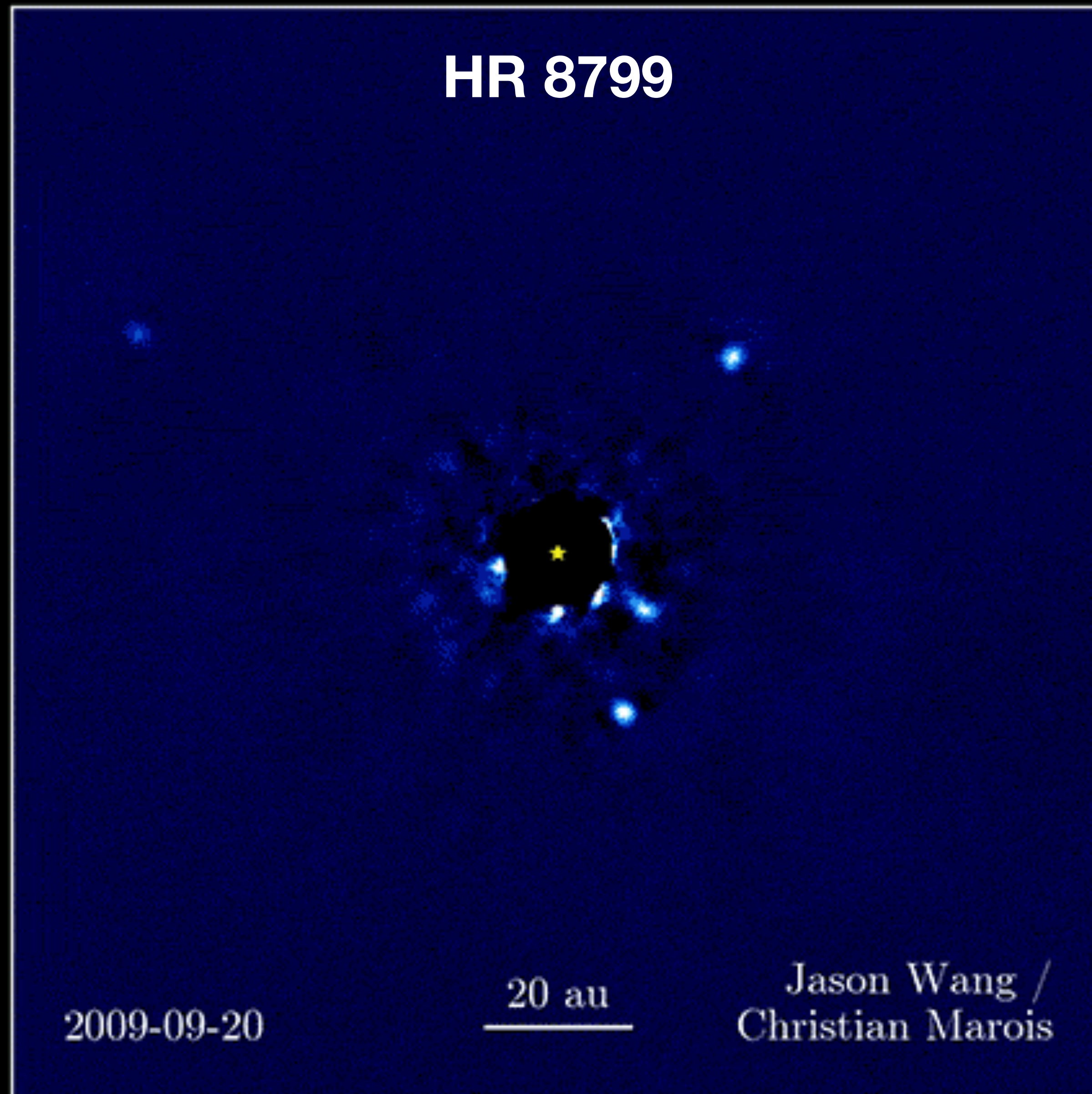


**Credit: Martin Vargic**

- [illegible]

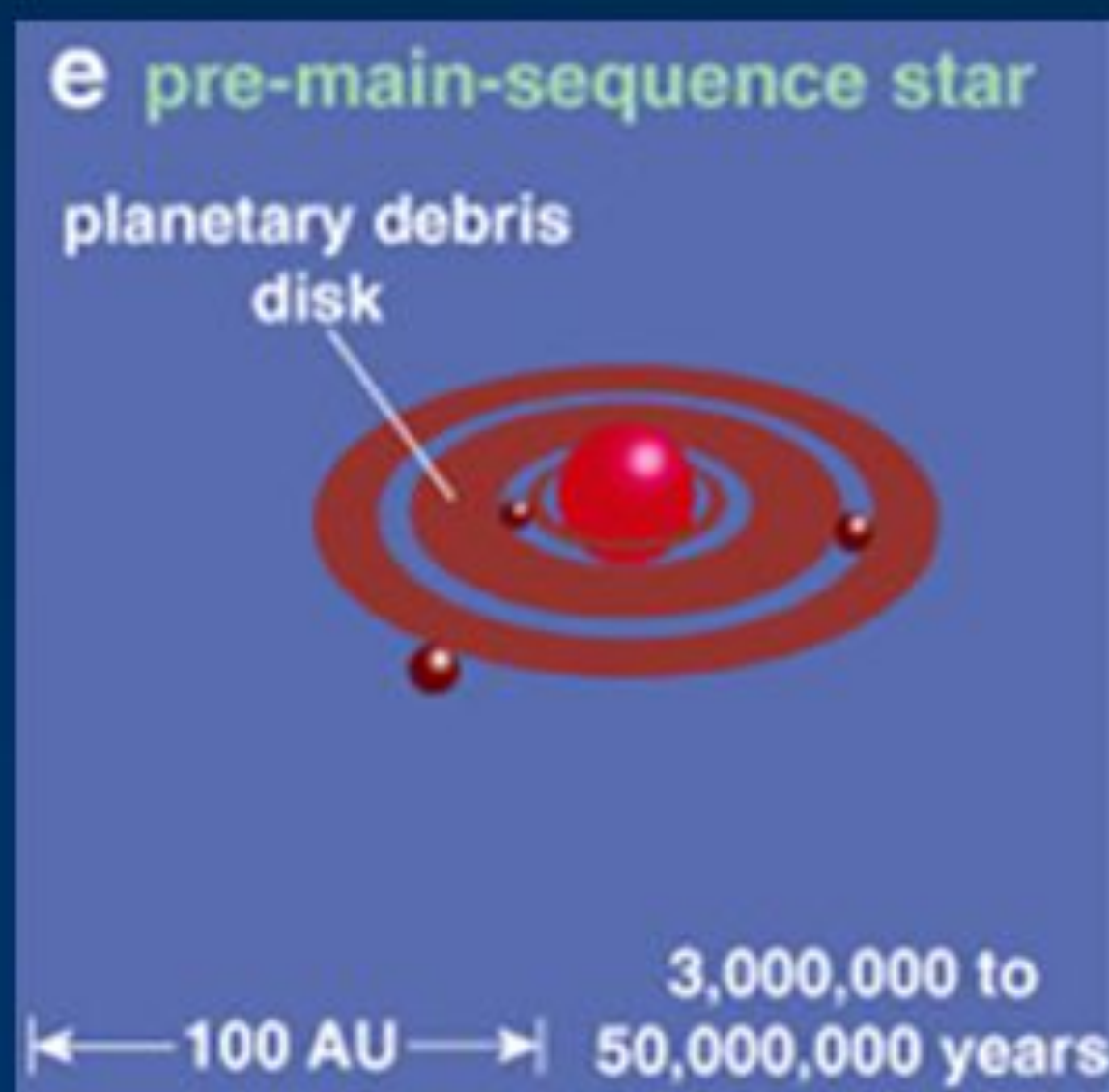
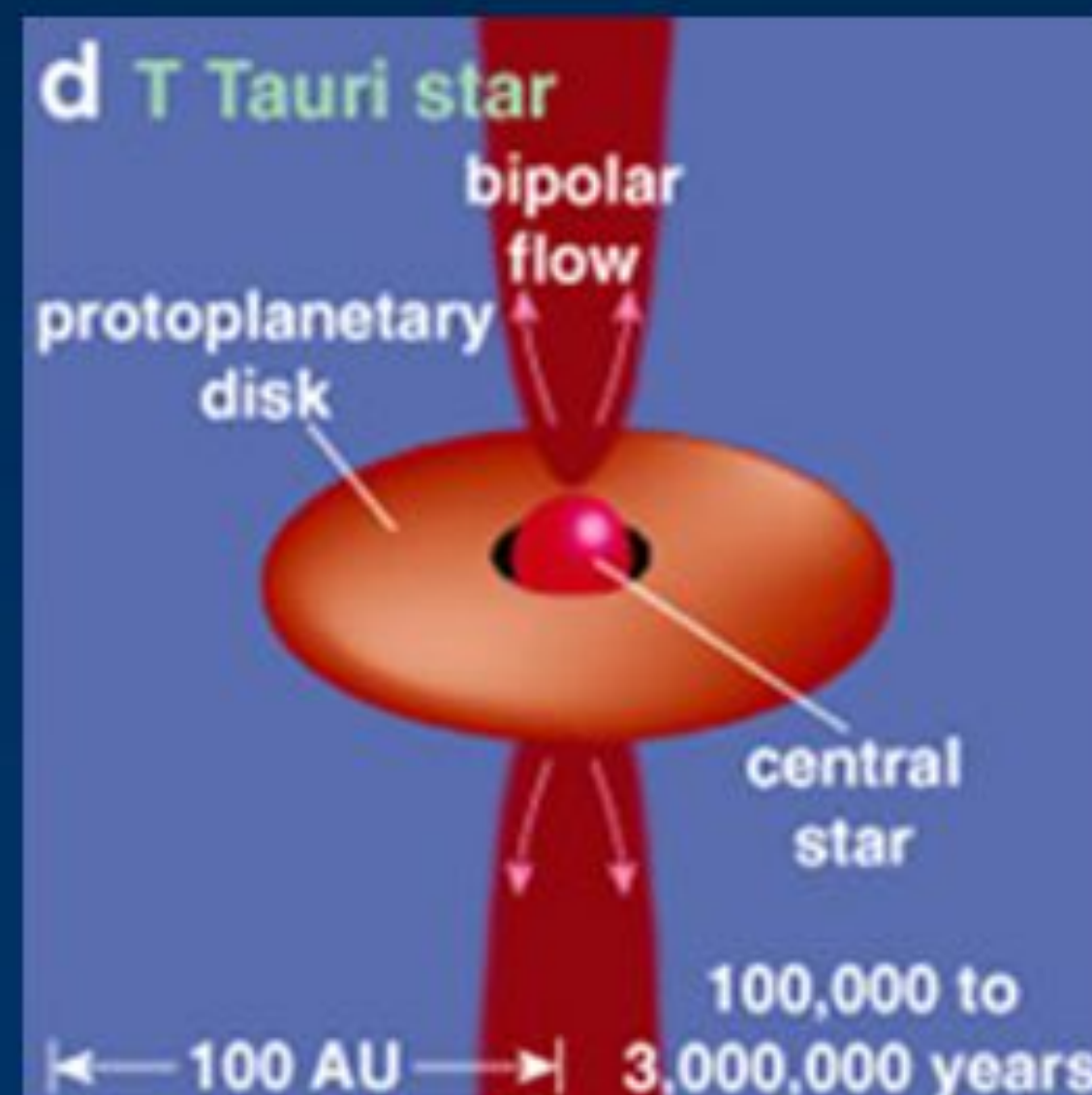
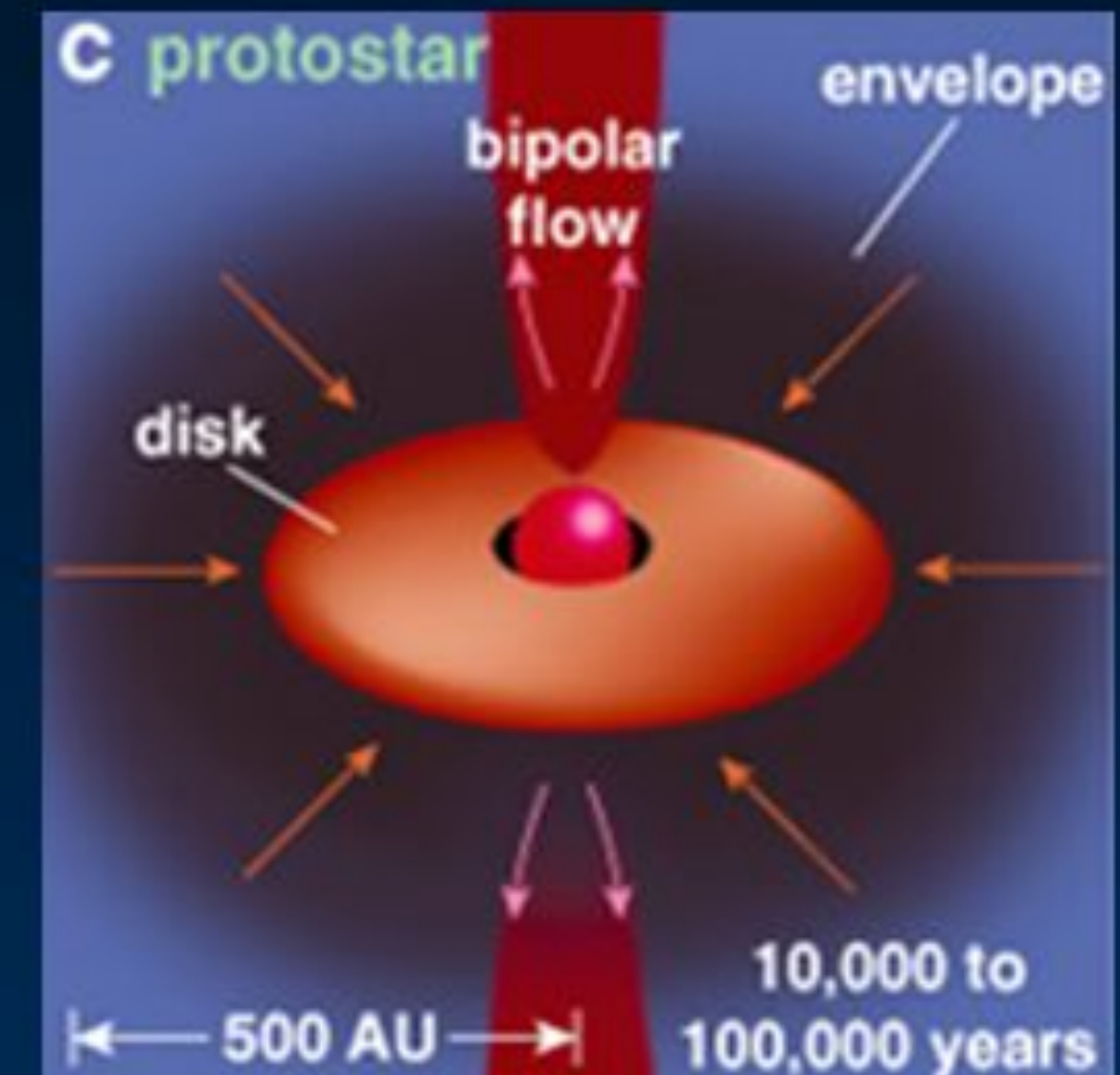
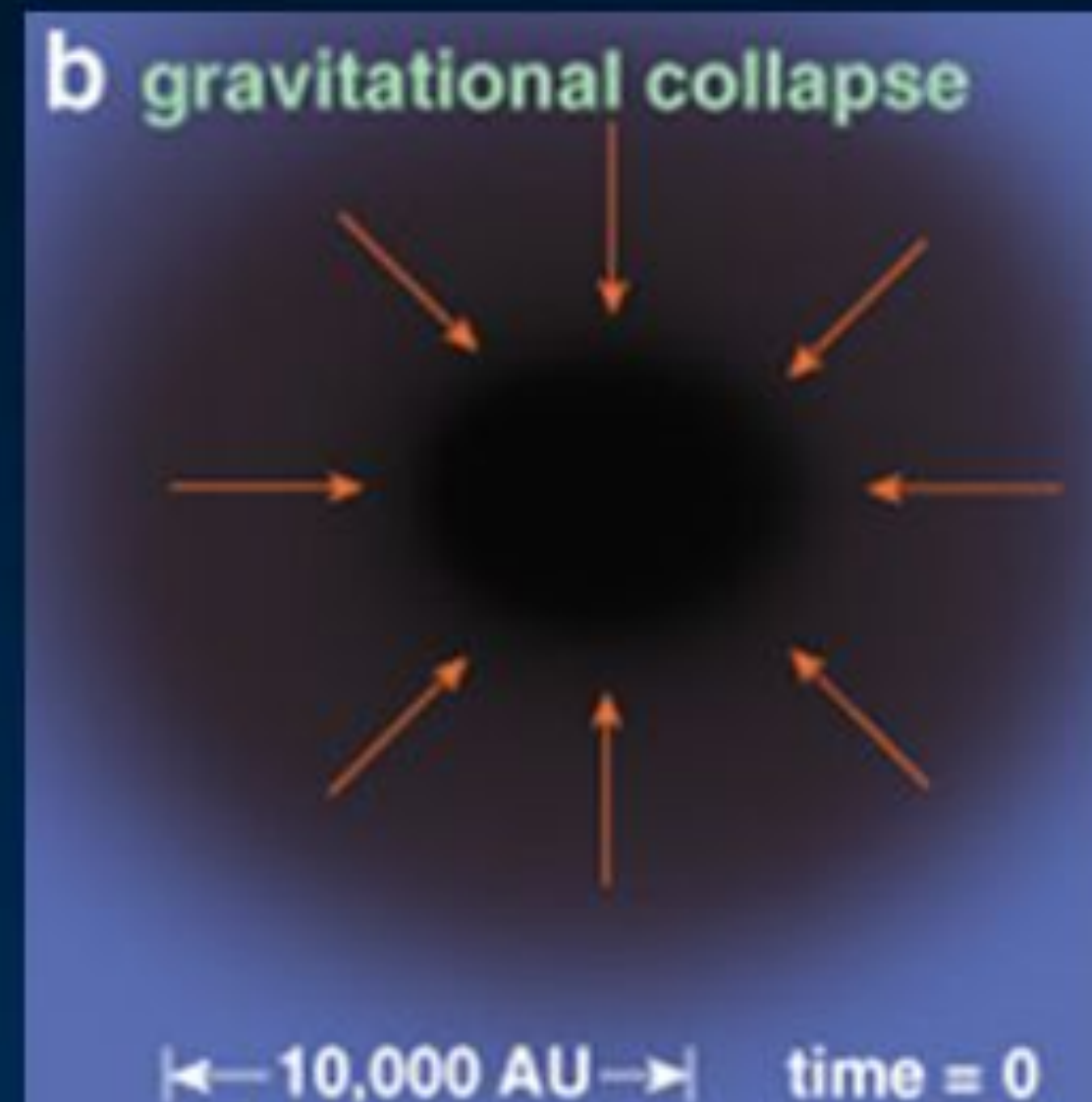
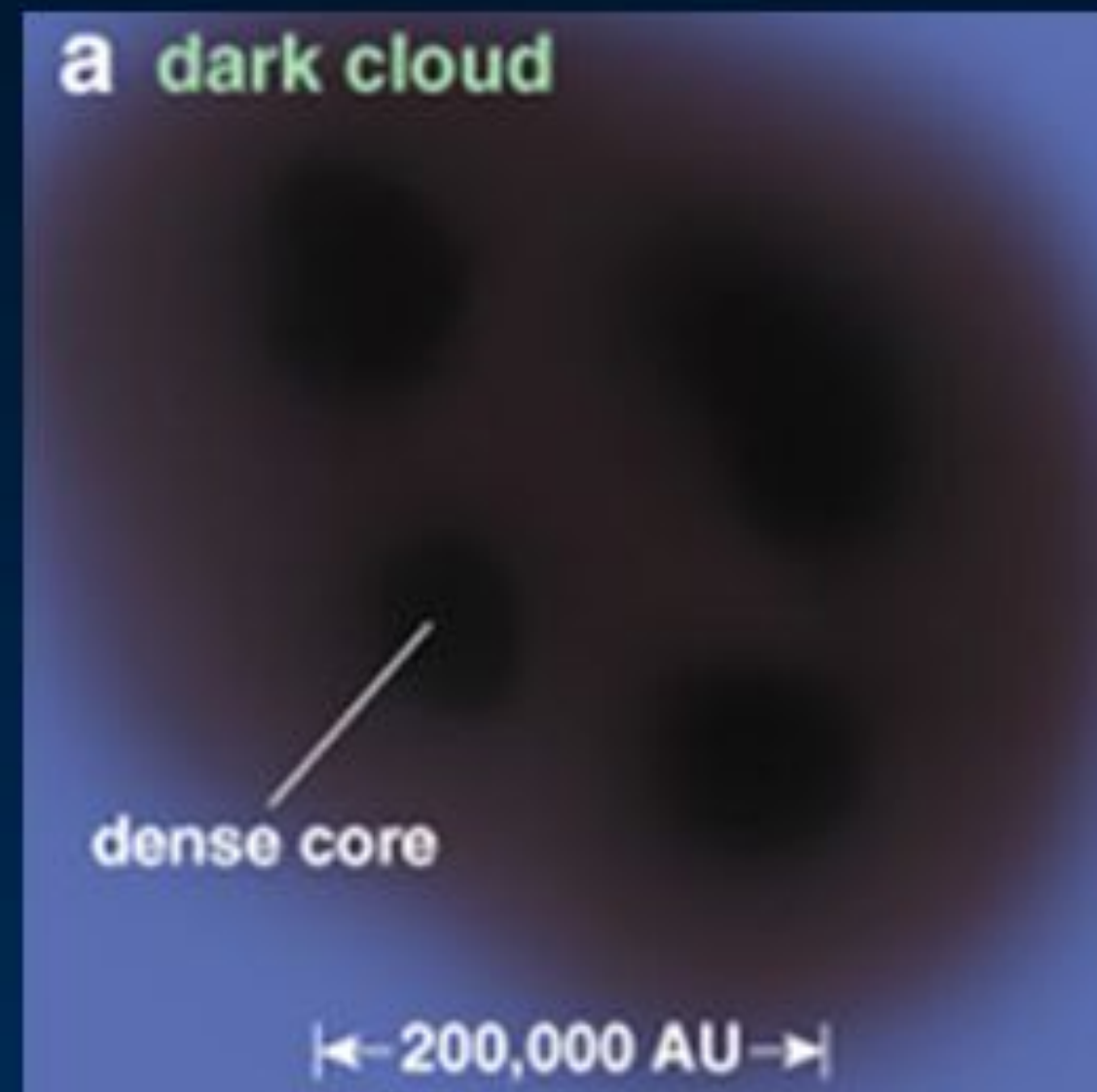


# HR 8799



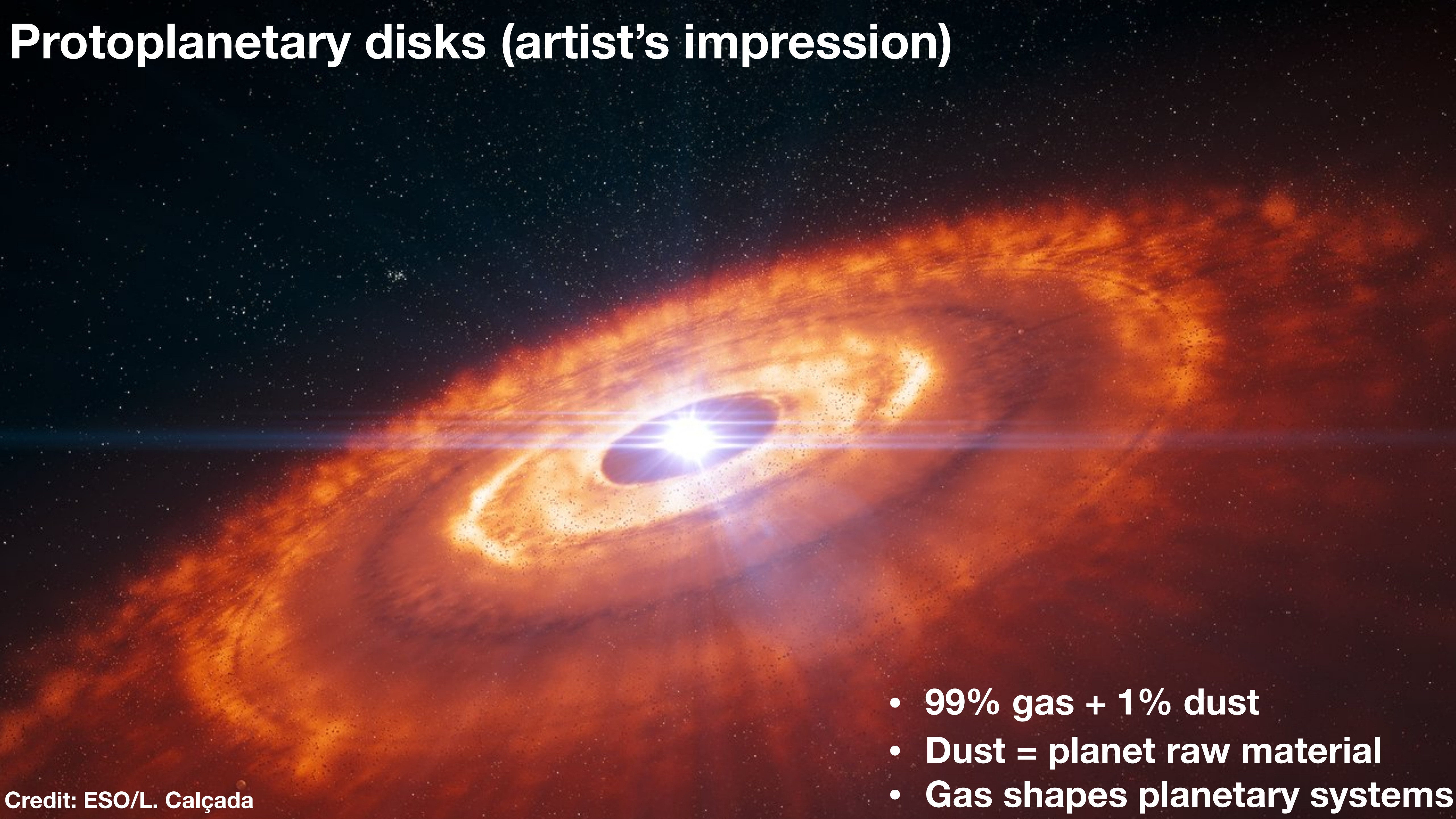


# Star & planet formation





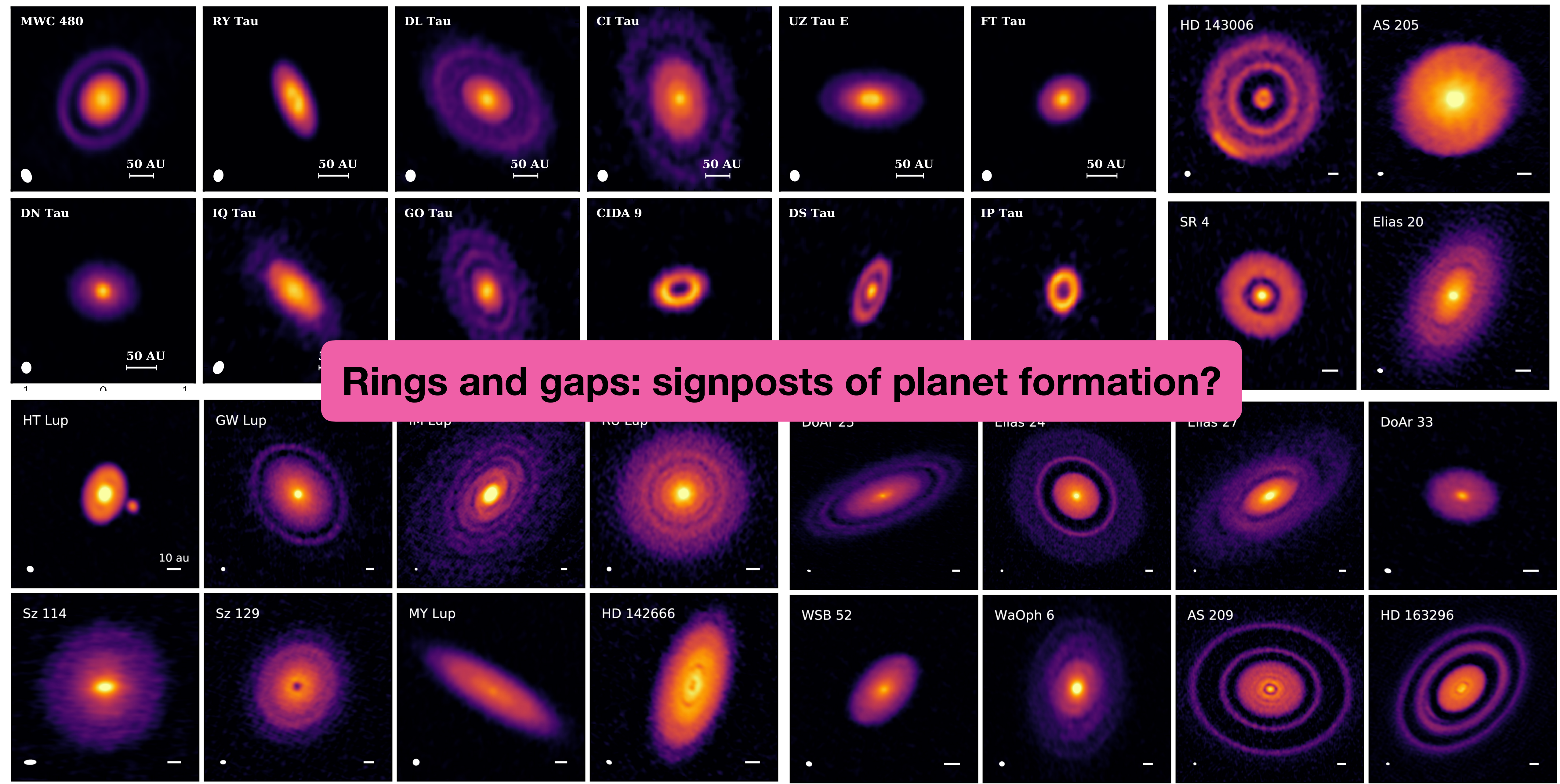
# Protoplanetary disks (artist's impression)



- 99% gas + 1% dust
- Dust = planet raw material
- Gas shapes planetary systems



**(Andrews et al, 2018; Long et al 2018)**

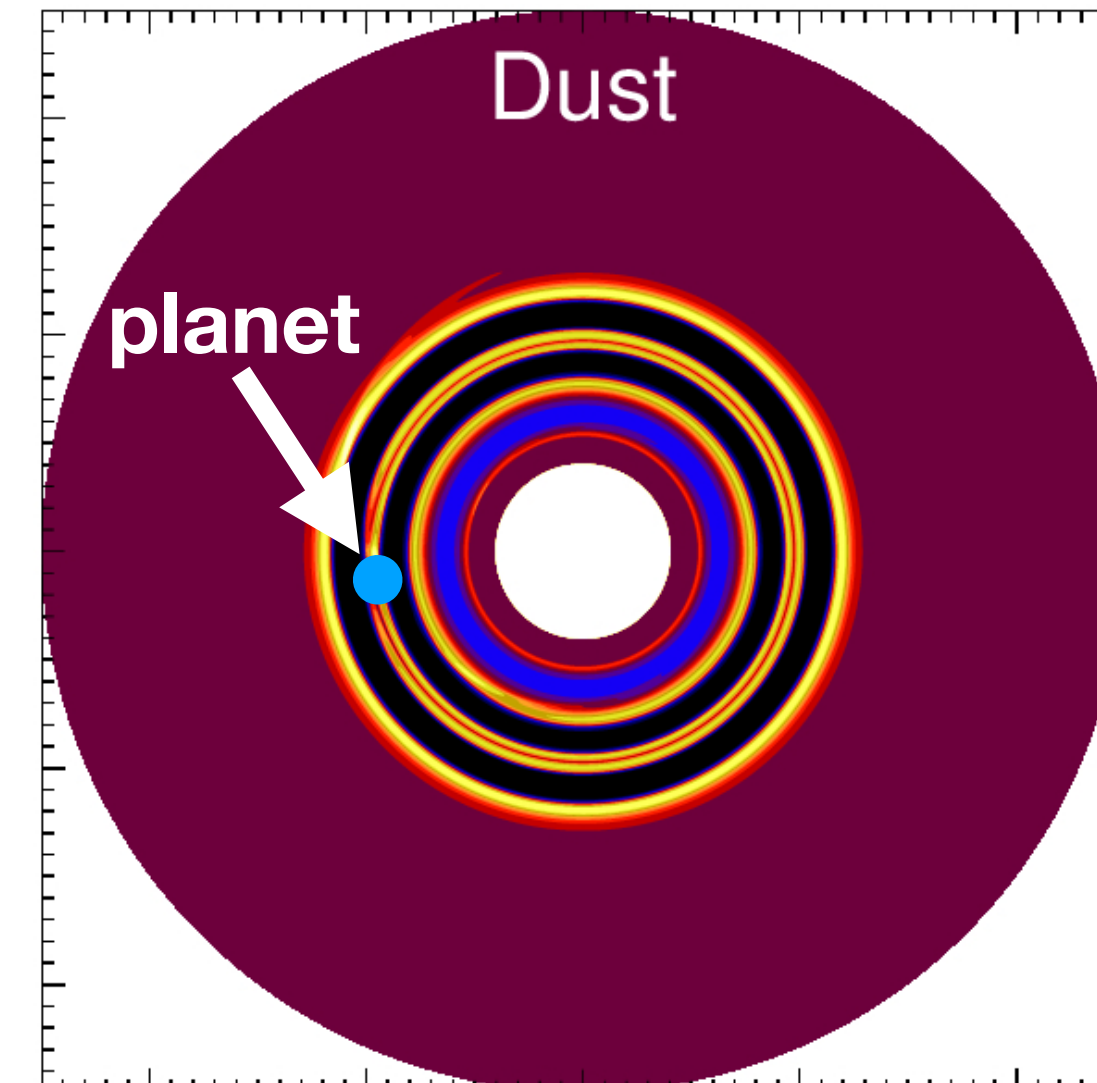
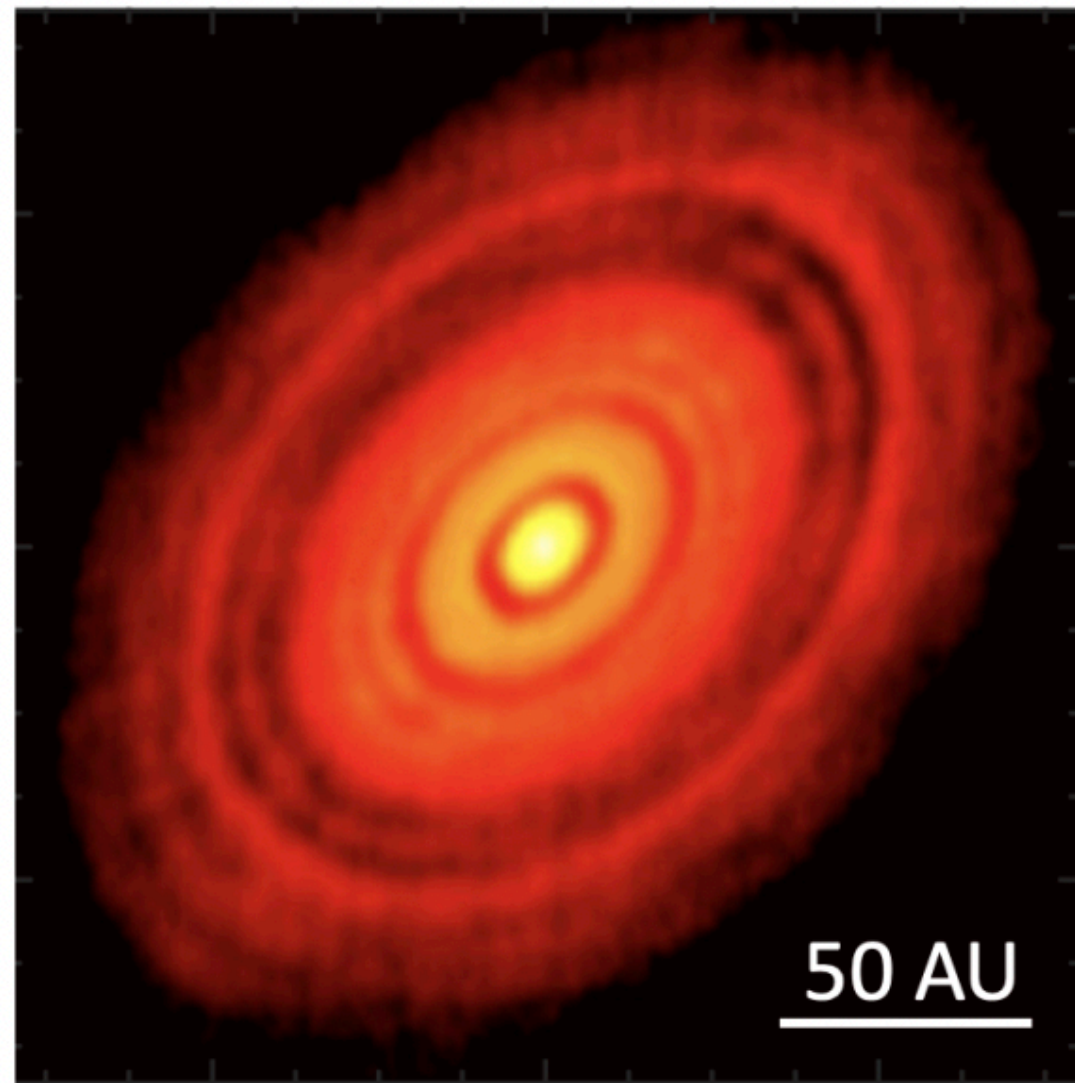




# Disk-planet interpretation

HL Tau (ALMA Partnership et al. 2015)

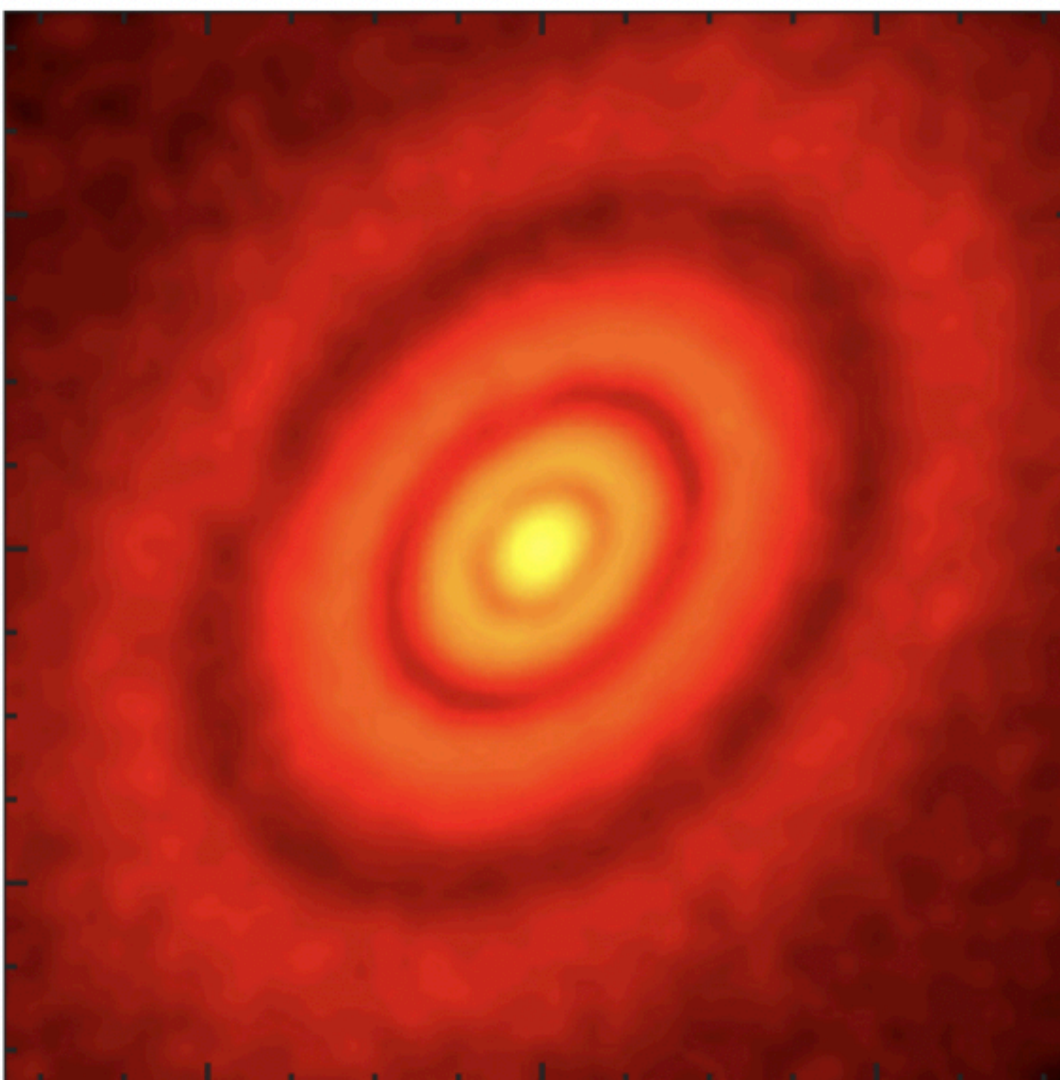
**Observations**



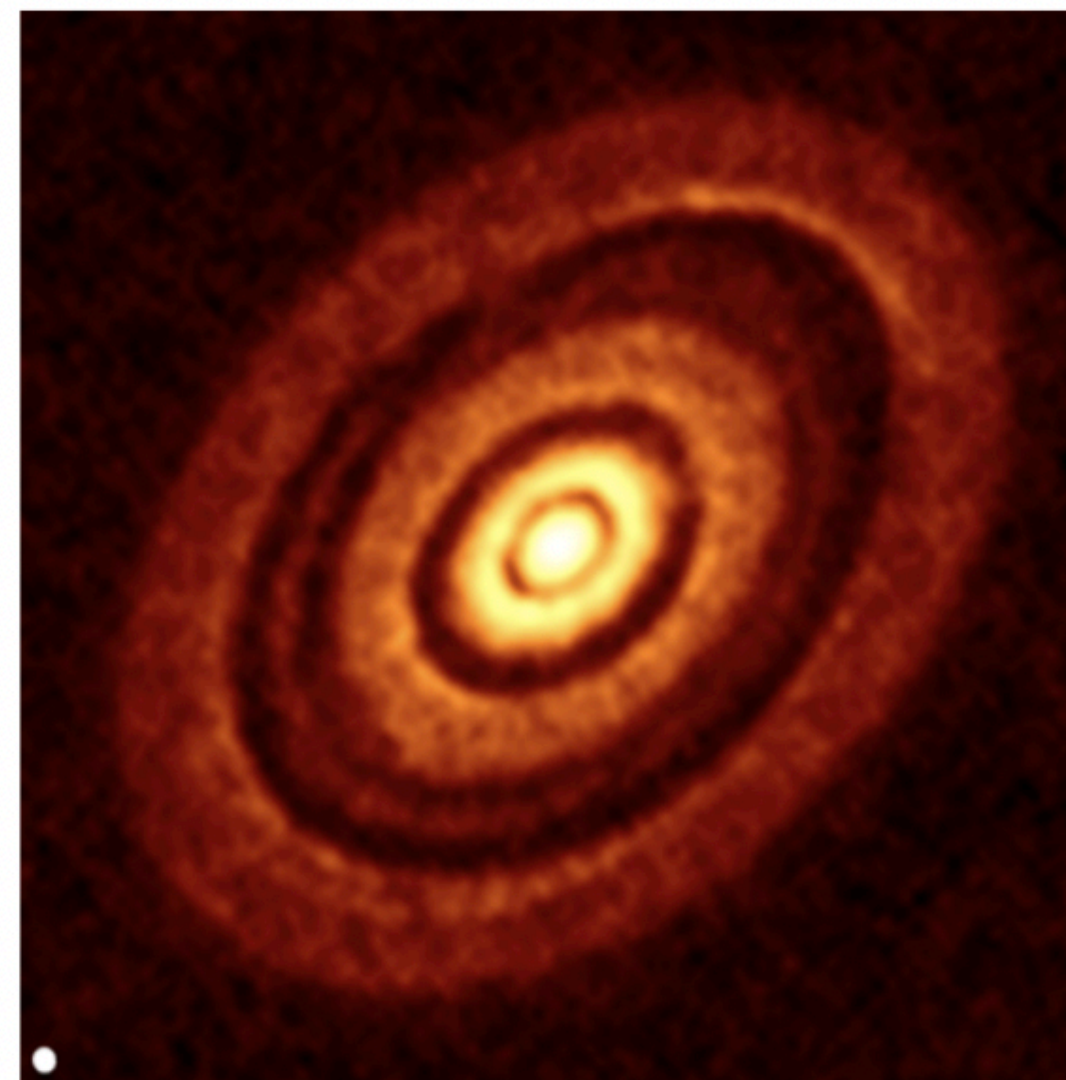
**Computer  
simulation**

(Chen & Lin, 2018)

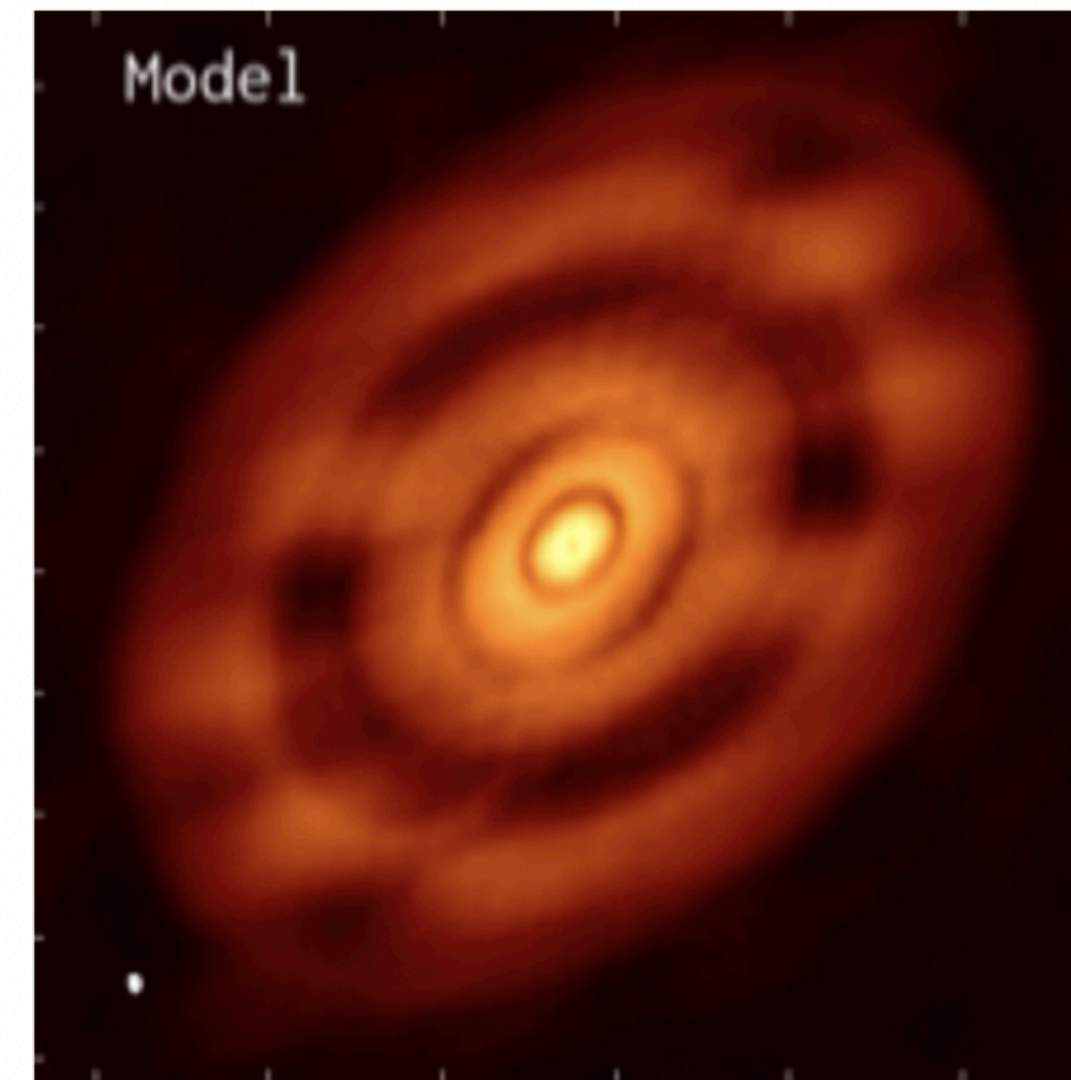
**Simulation  
+  
synthetic obs.**



Dong et al. 2015



Dipierro et al. 2015

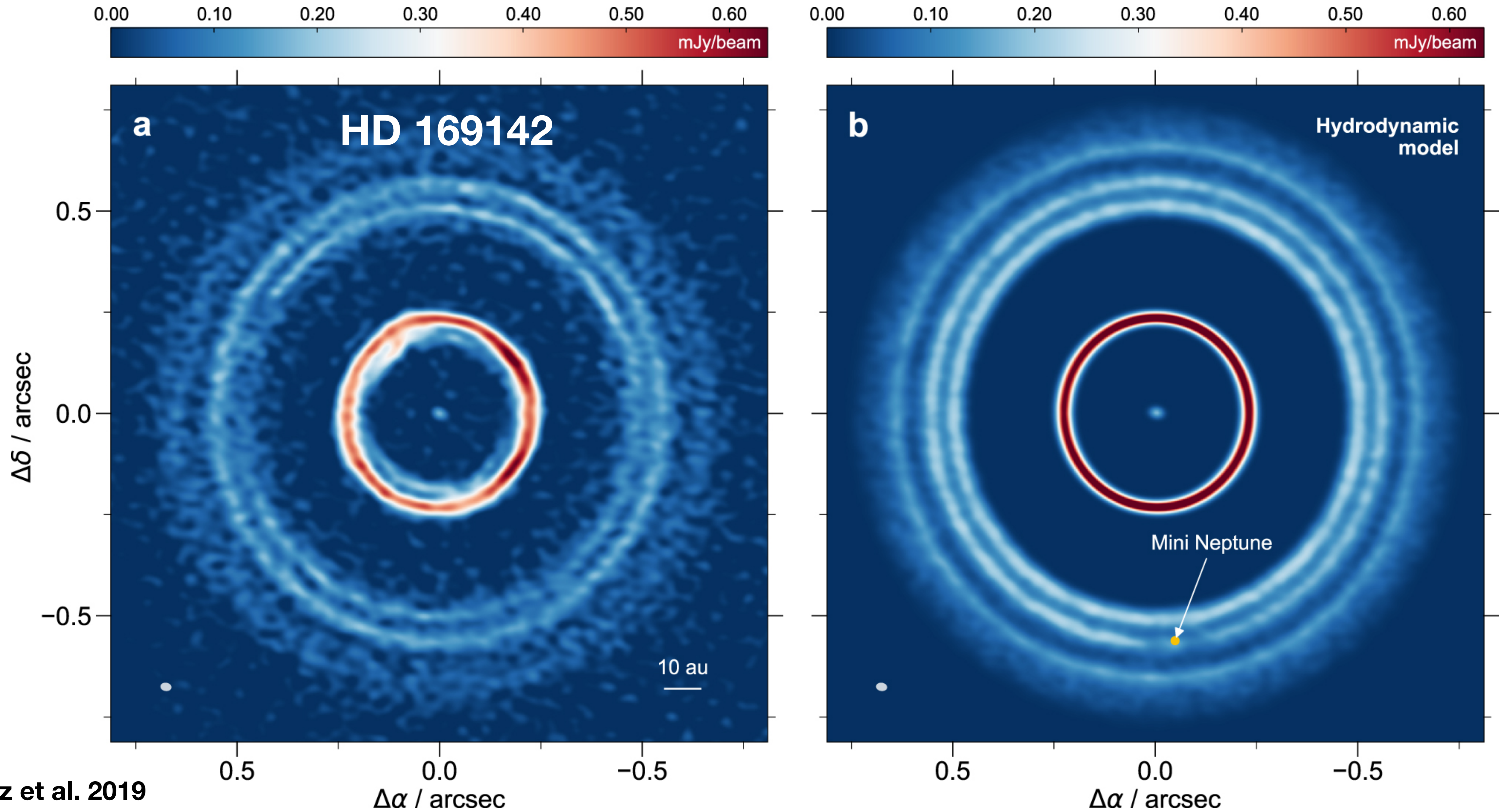


Jin et al. 2016

(Paardekooper et al., 2022, PPVII)

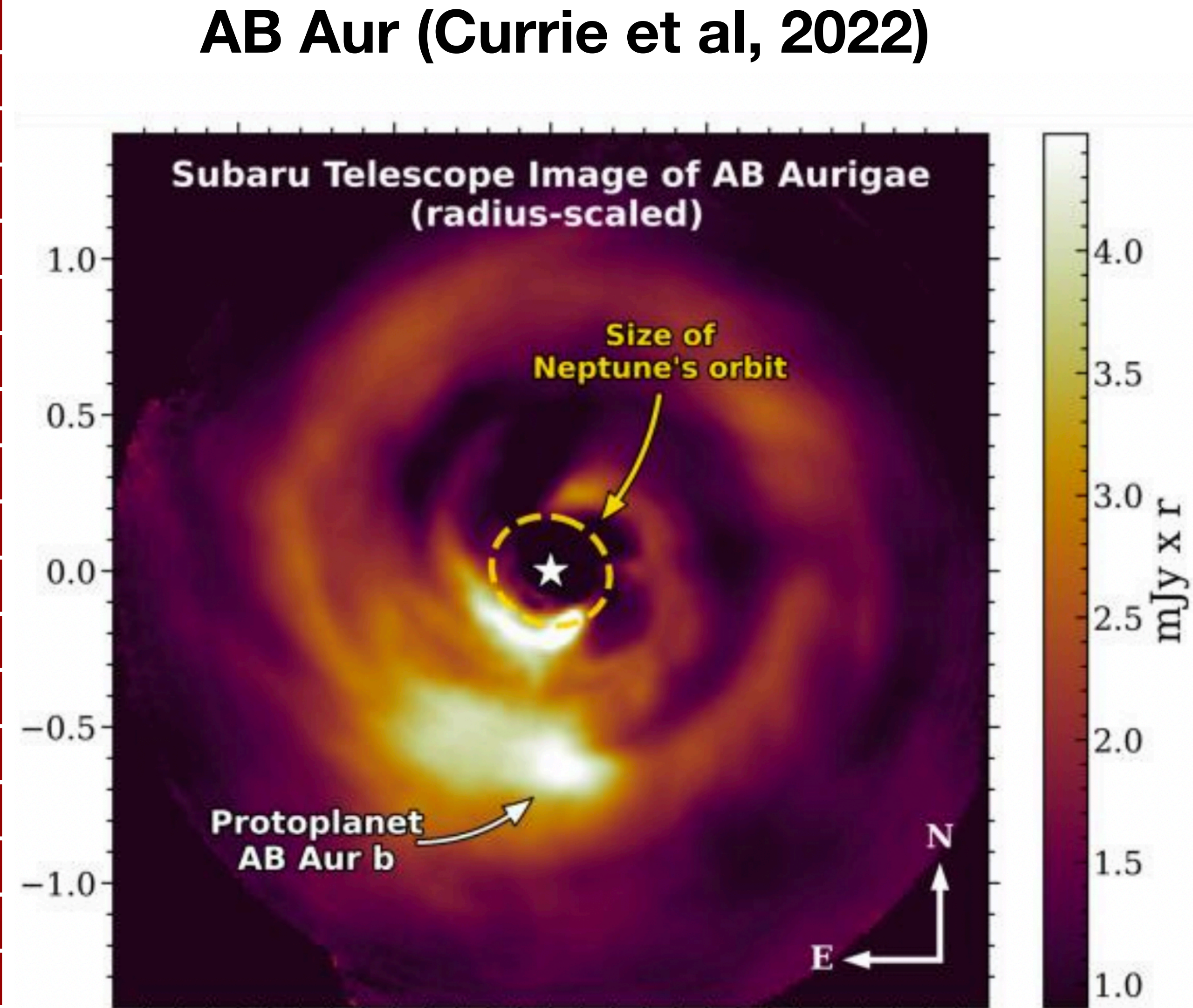
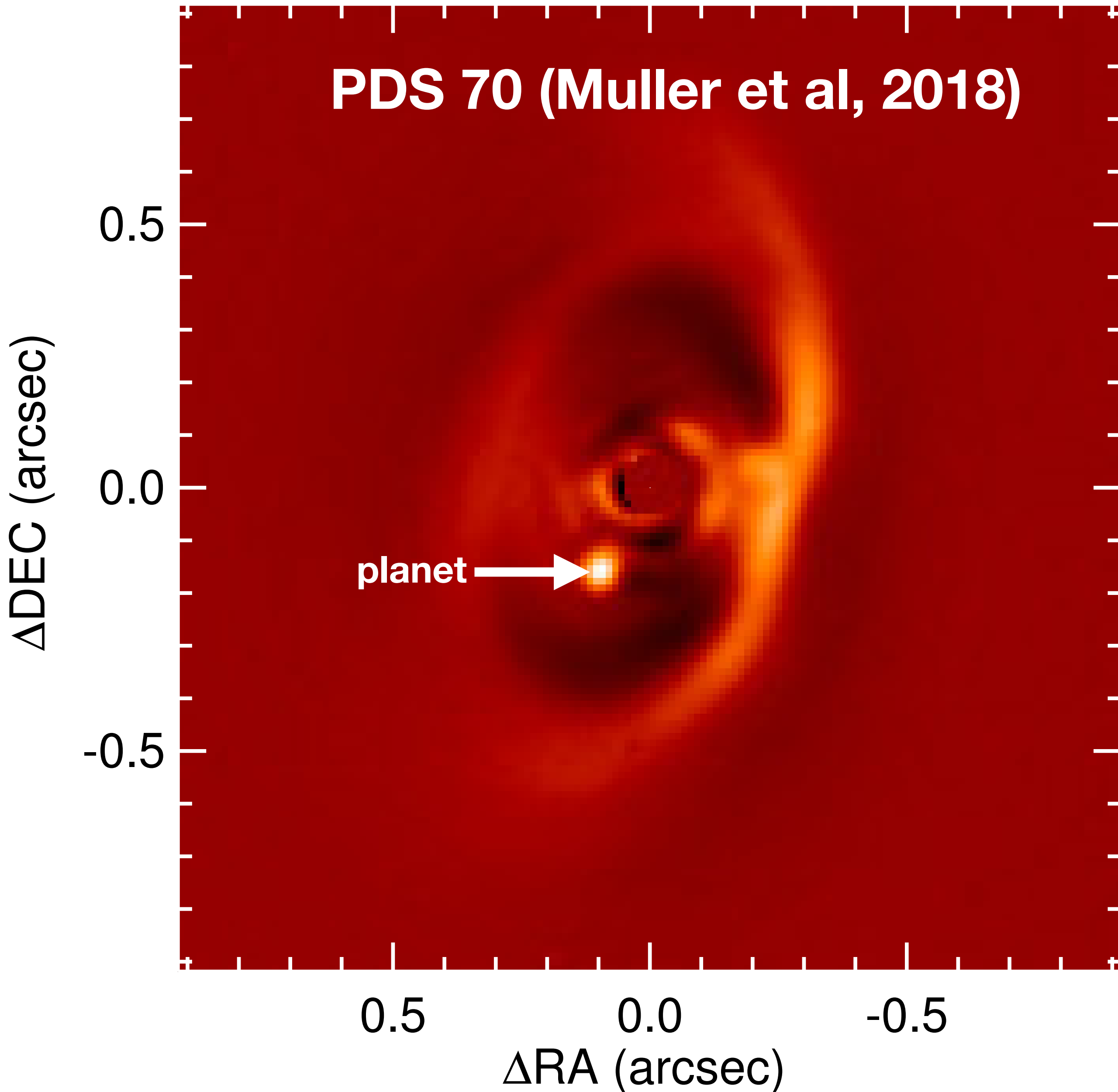


# Detecting planets via sub-structures



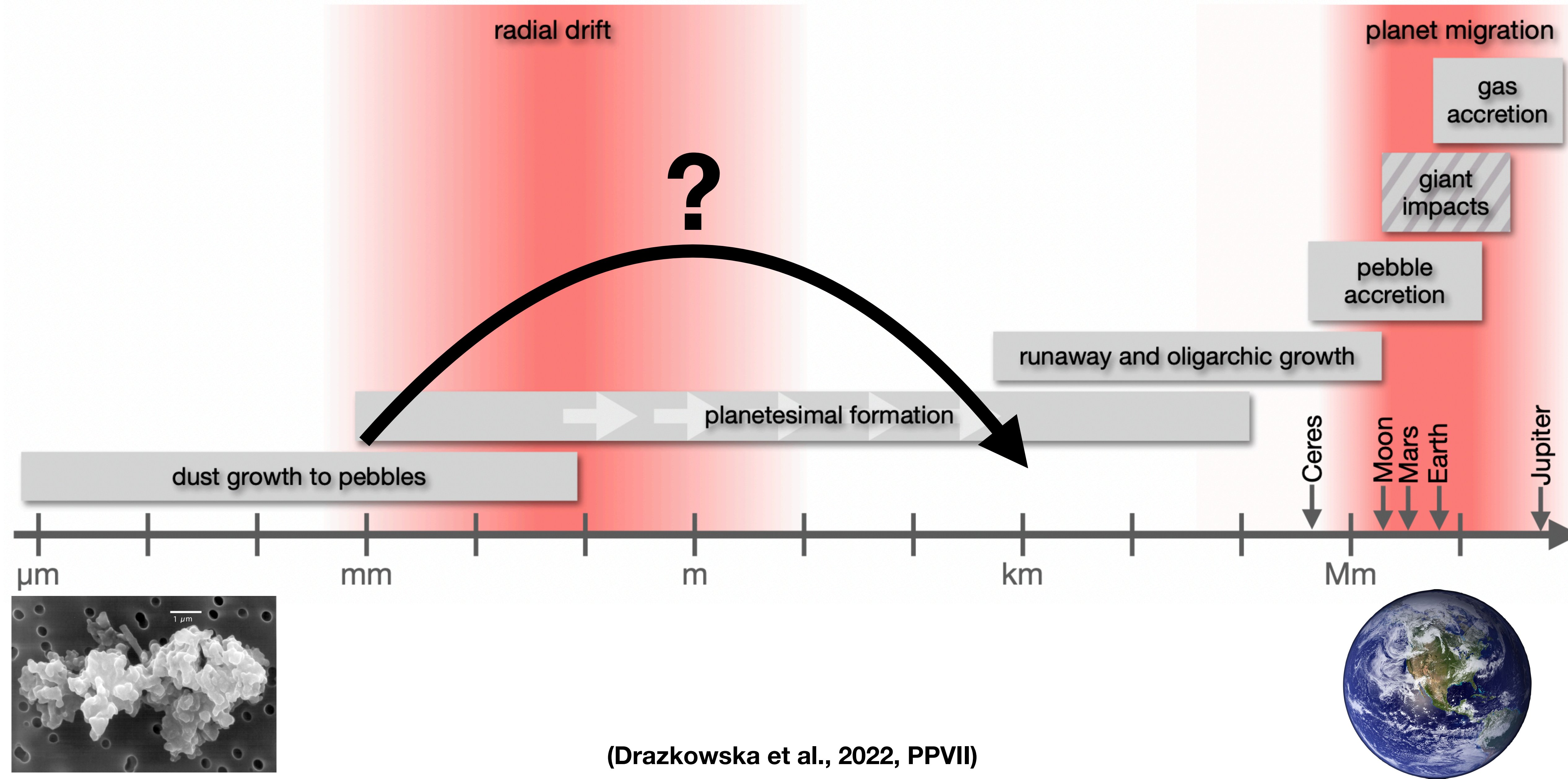


# Observations of planets in a disk



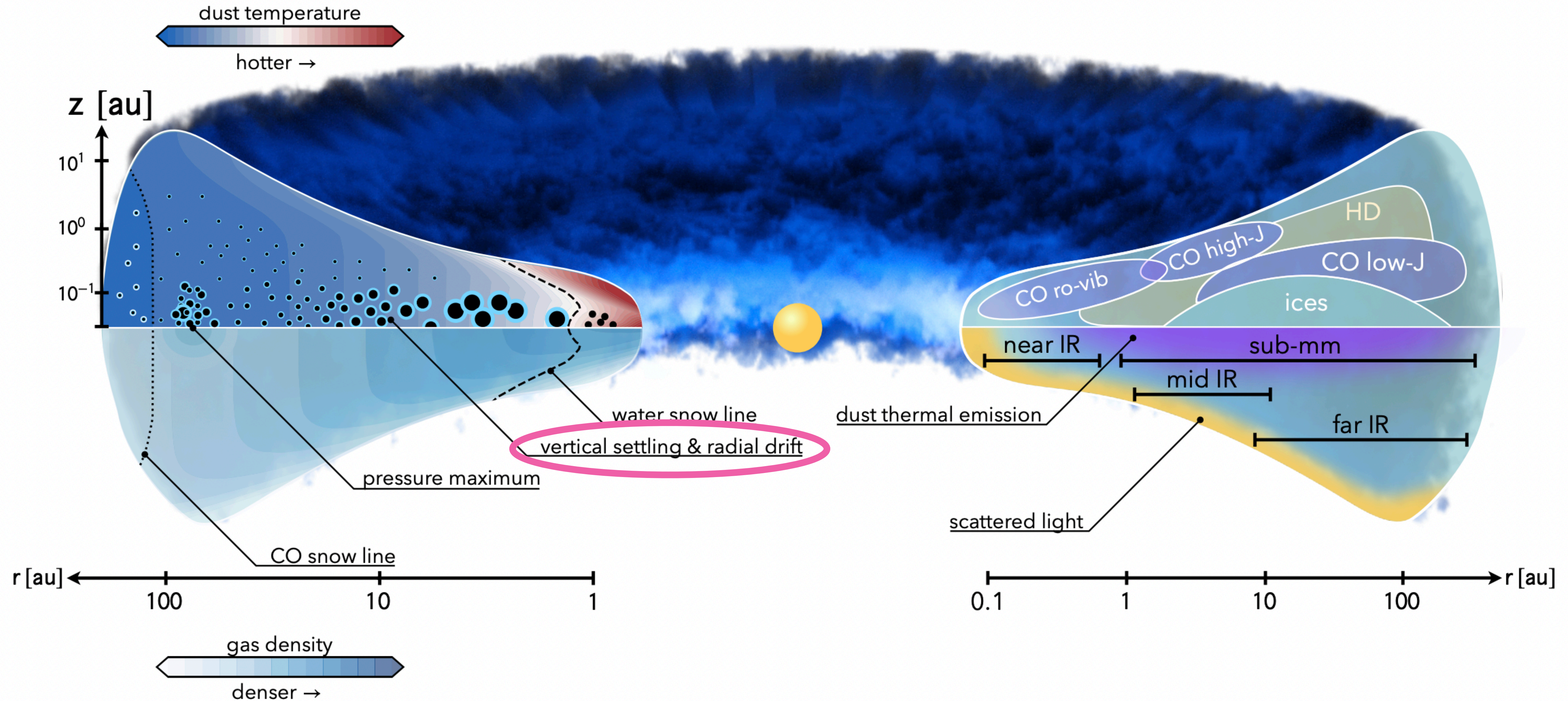


# One planet, multiple scales





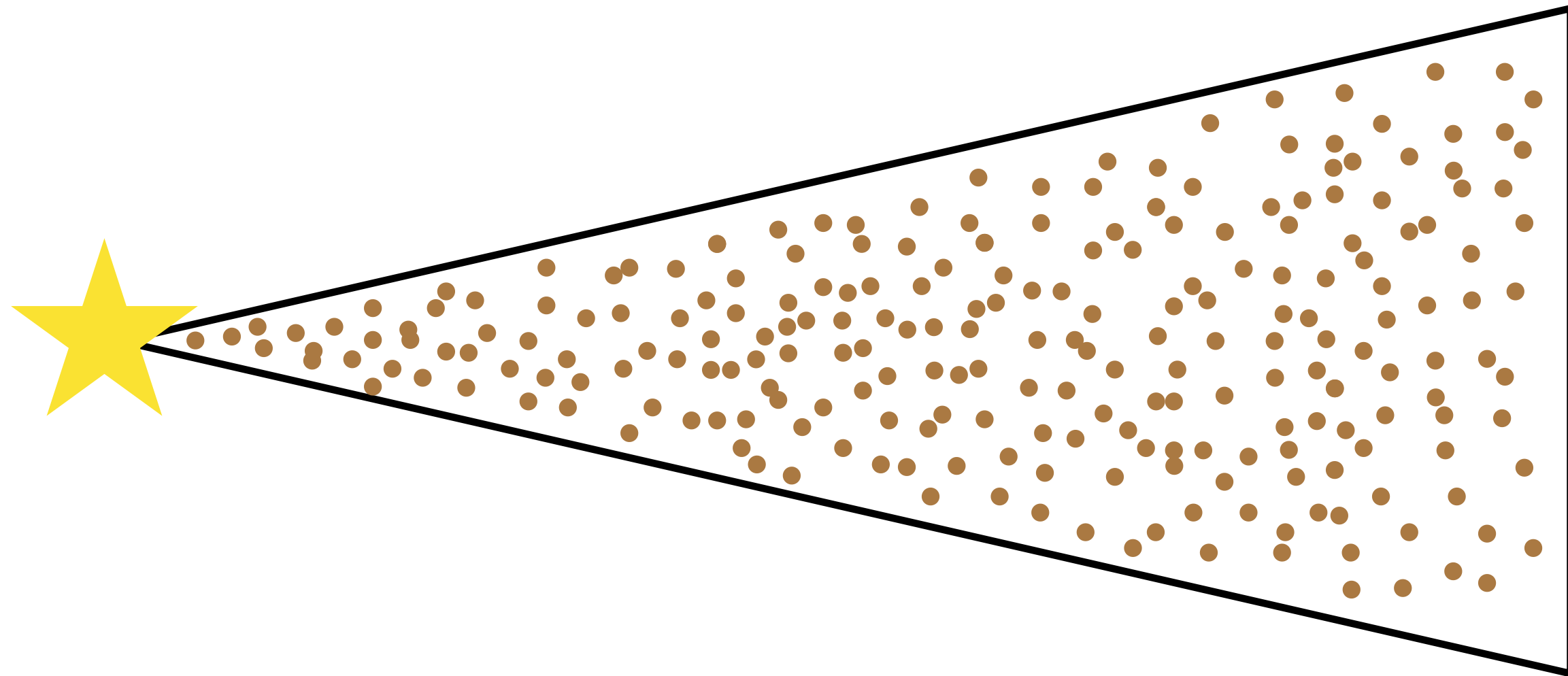
# Dust in protoplanetary disks



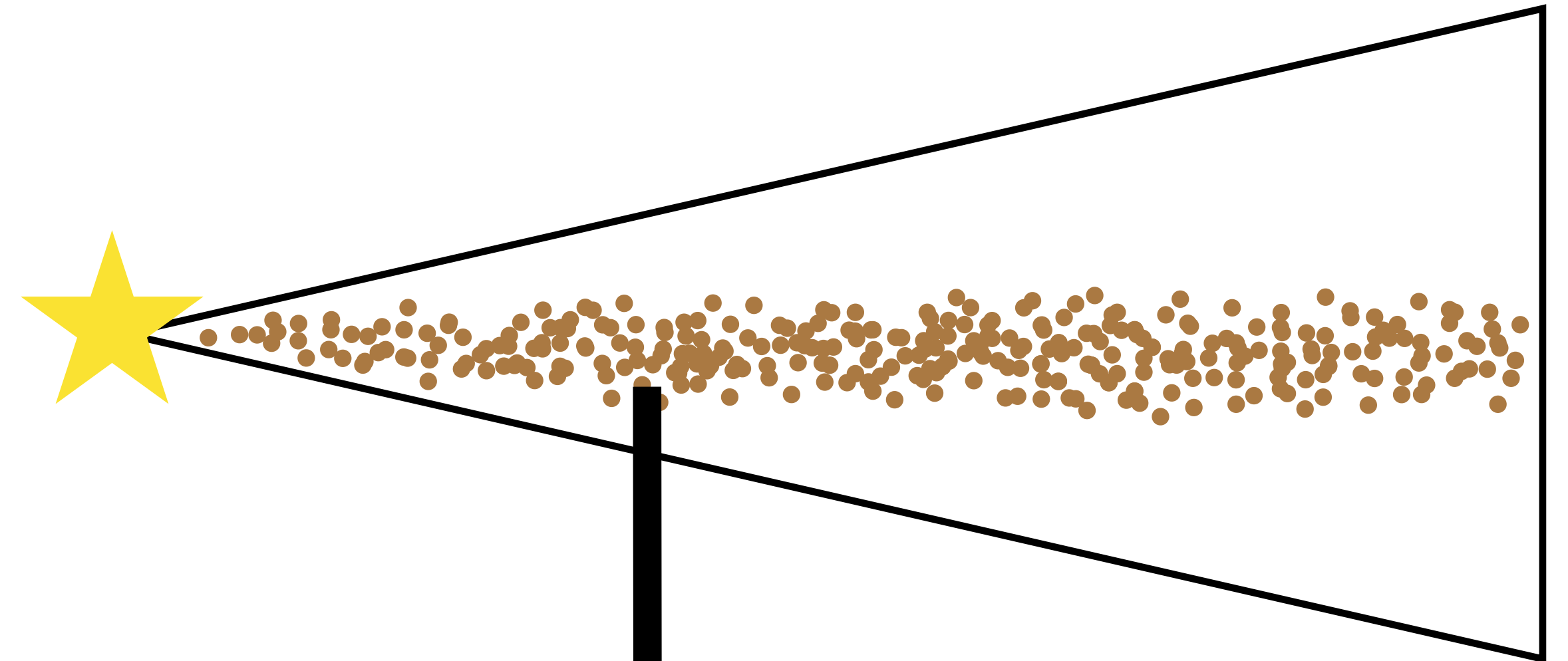


# Vertical dust settling

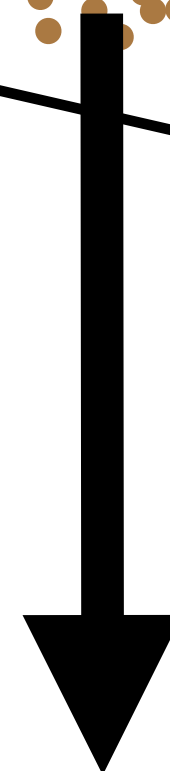
**well-mixed dust in young disk**



**dust sediments to the midplane**



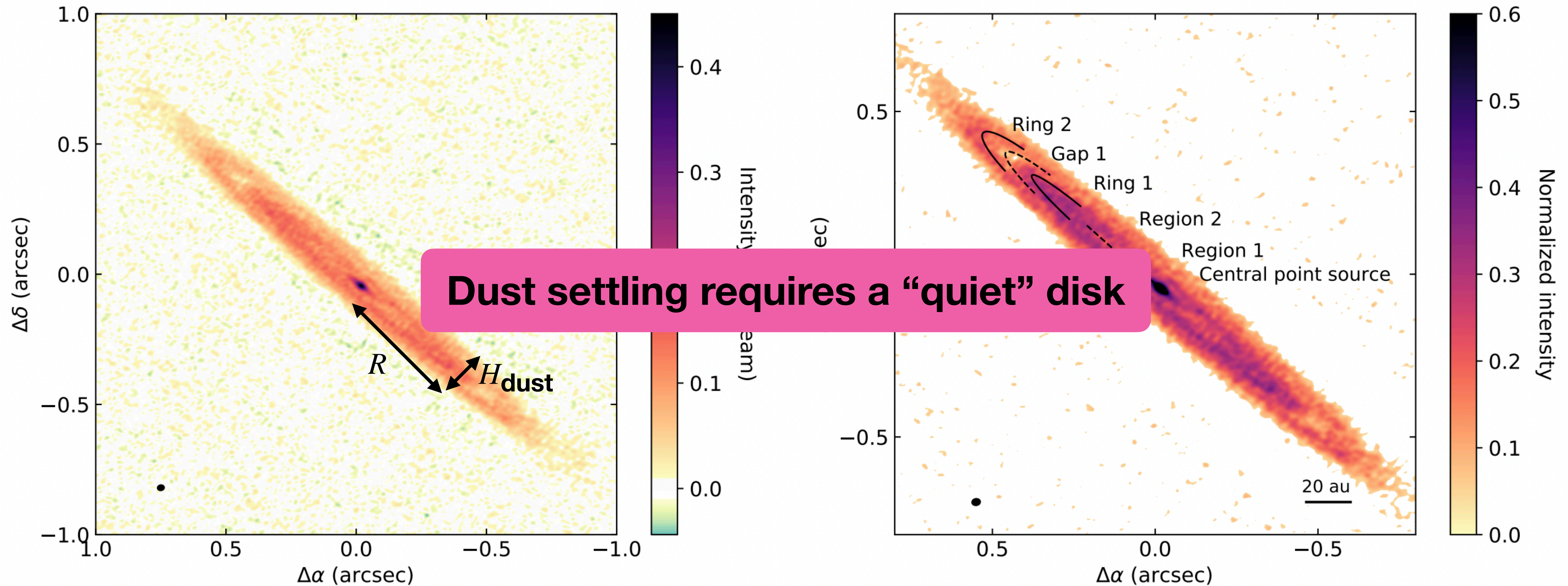
**planet(esimal) formation**





# Edge on disk observations

Oph 163131 (Villenave et al. 2022)



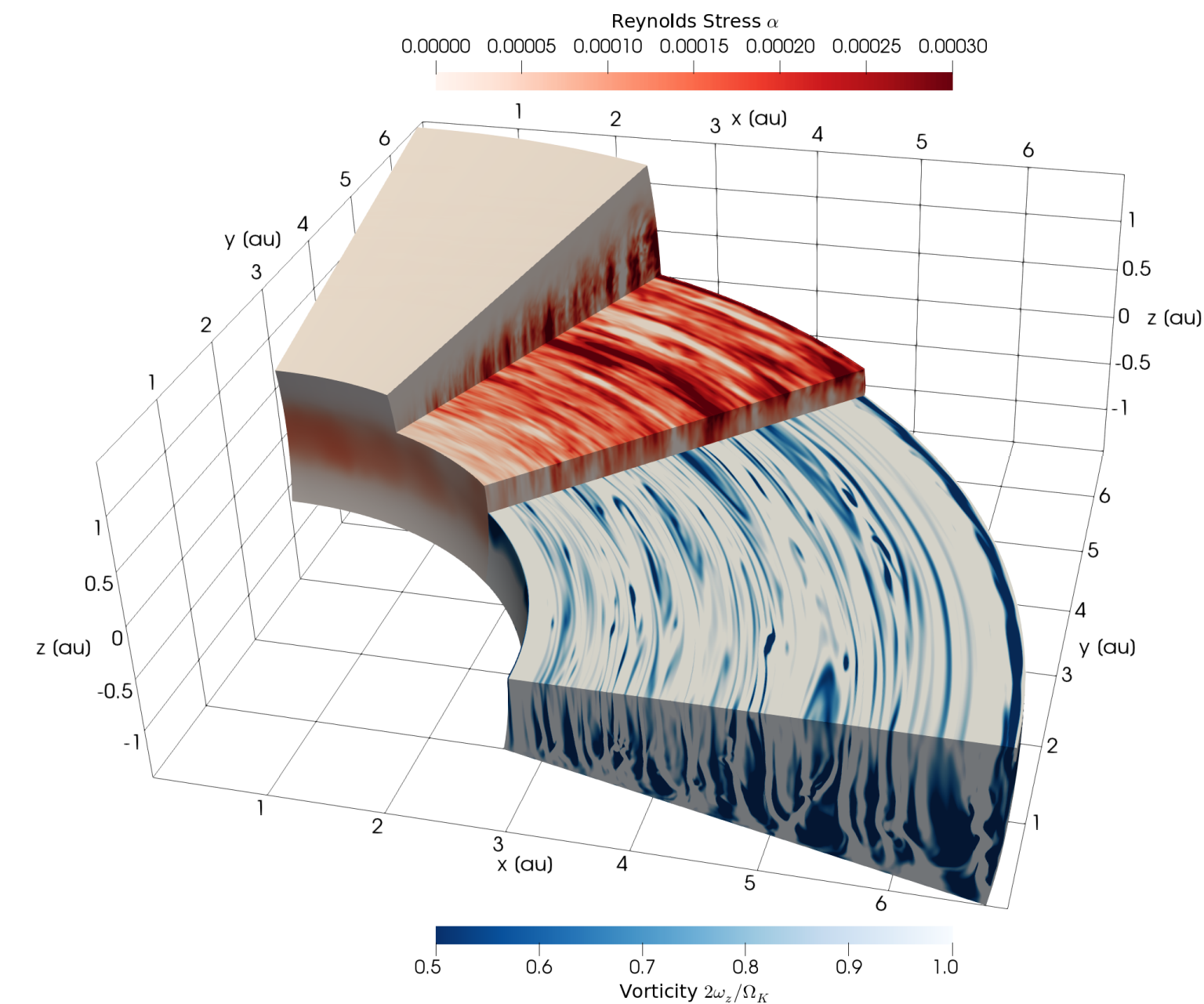
Dust settling requires a “quiet” disk

$$H_{\text{dust}} \sim 0.005R$$



# PPDs are (weakly) turbulent

## Vertical shear instability

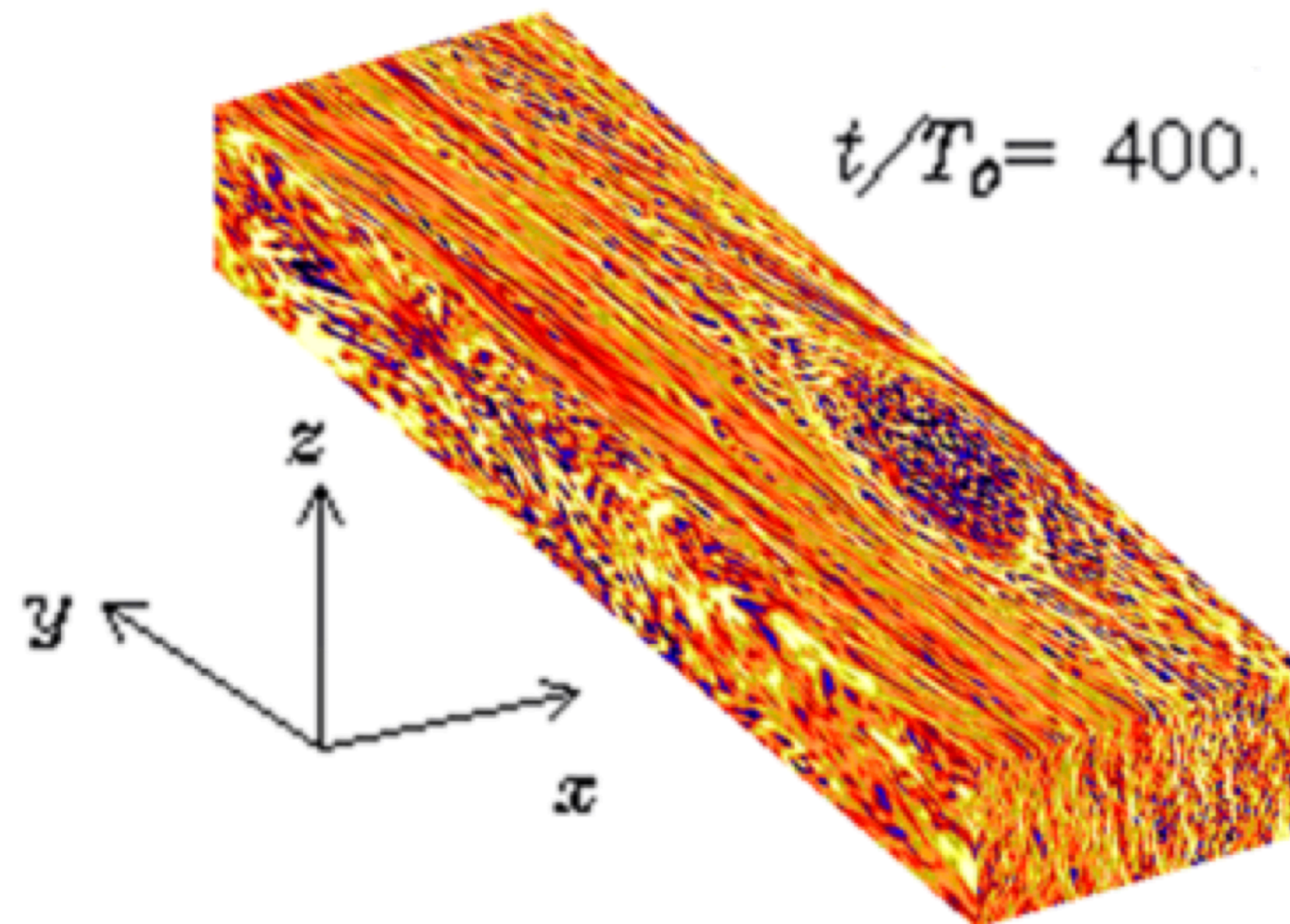


Pfeil & Klahr (2020)

Lin & Youdin (2015)

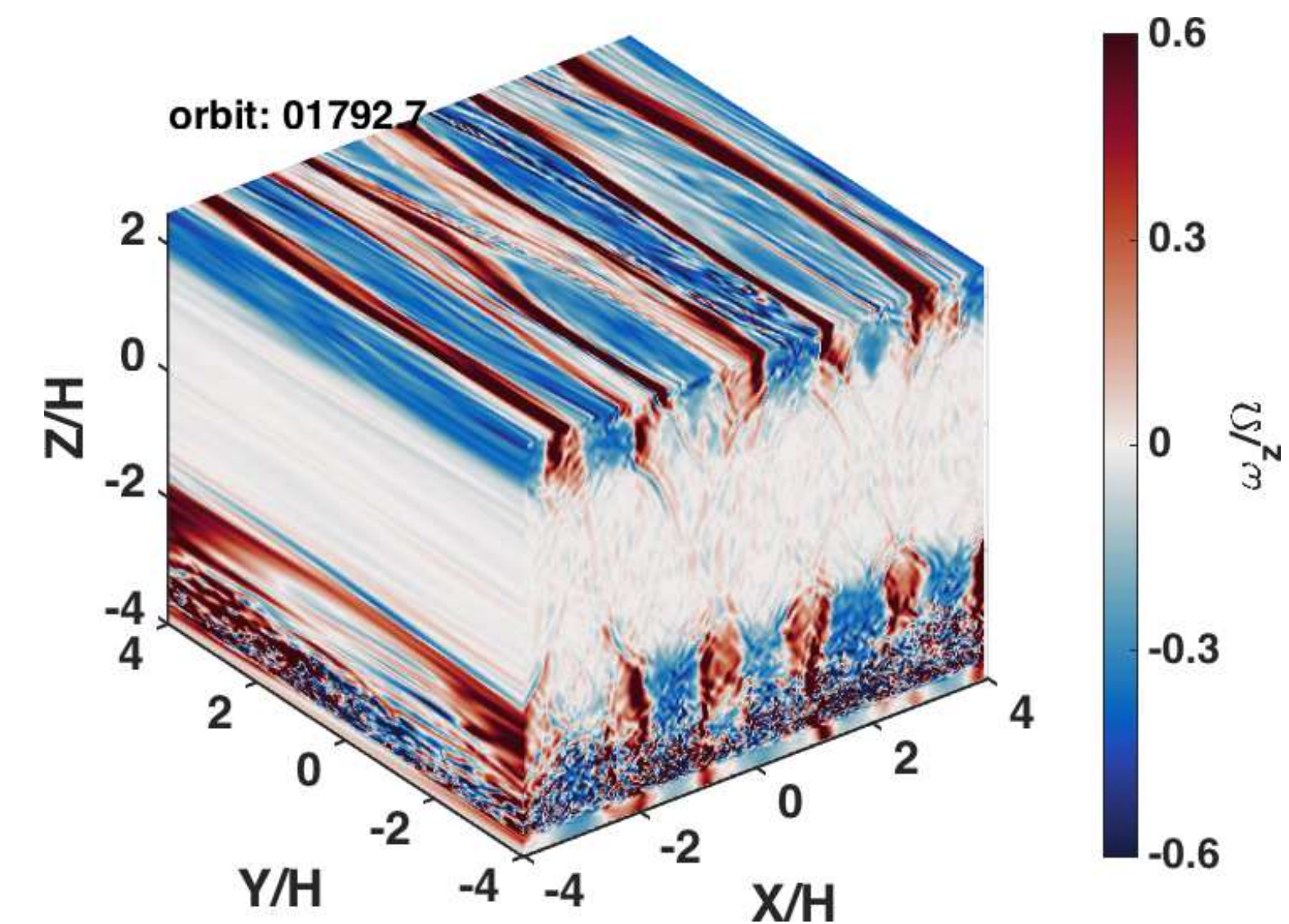
Cui & Lin (2021)

## Convective overstability



Lyra (2014)

## Zombie vortex instability



Barranco et al. (2018)

See Lesur,..., Lin, et al. (2022) PPVII review



# Dust settling vs. turbulence

time= 0.00 ORB

$$M_{\text{dust}} = 0.01 M_{\text{gas}}$$

$$M_{\text{dust}} = 0.05 M_{\text{gas}}$$

$z/H_g$

2  
1  
0  
-1  
-2

0.9

1.0  
 $R/R_0$

1.1

0.9

1.0  
 $R/R_0$

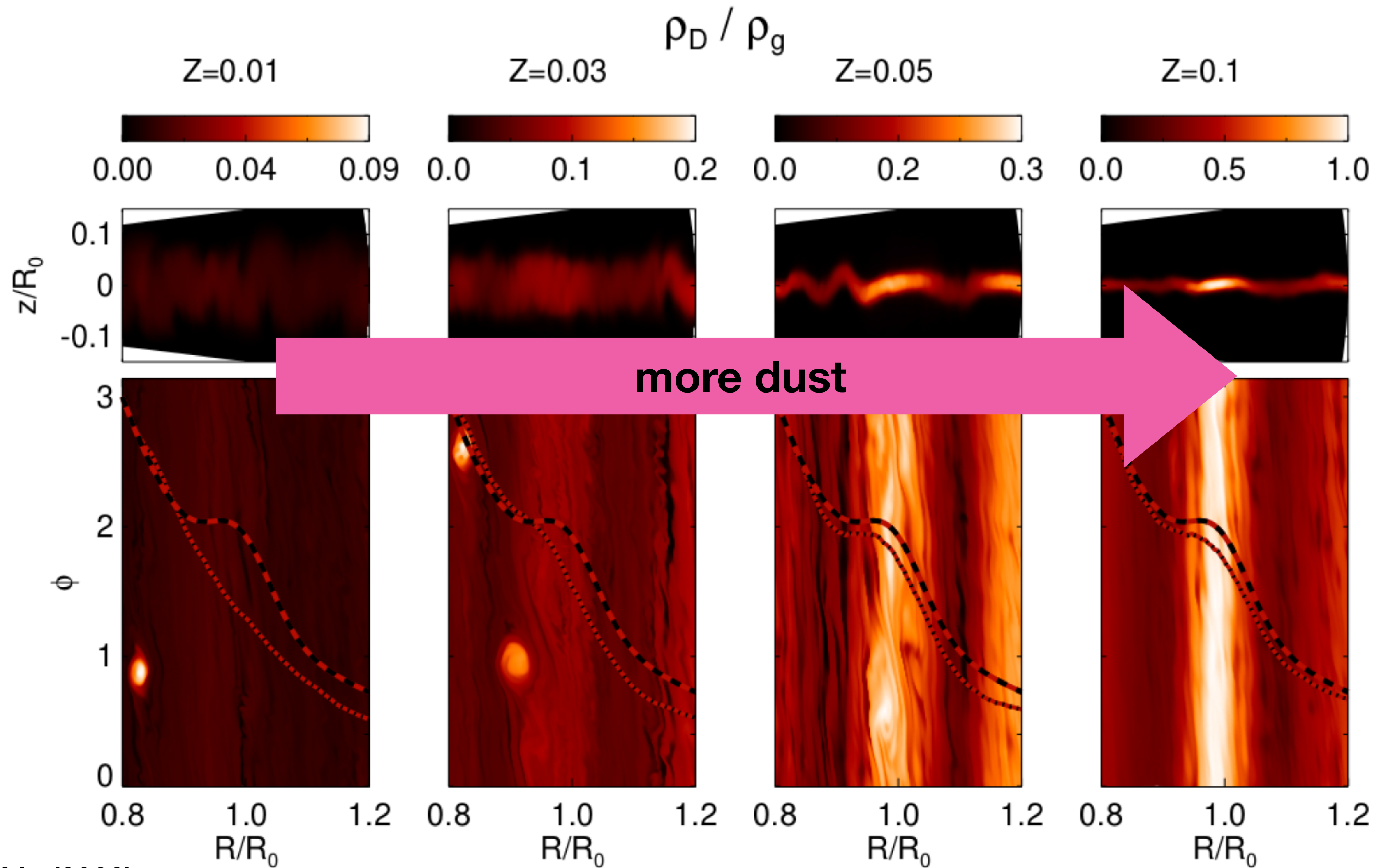
1.1



Lehmann & Lin (2022)

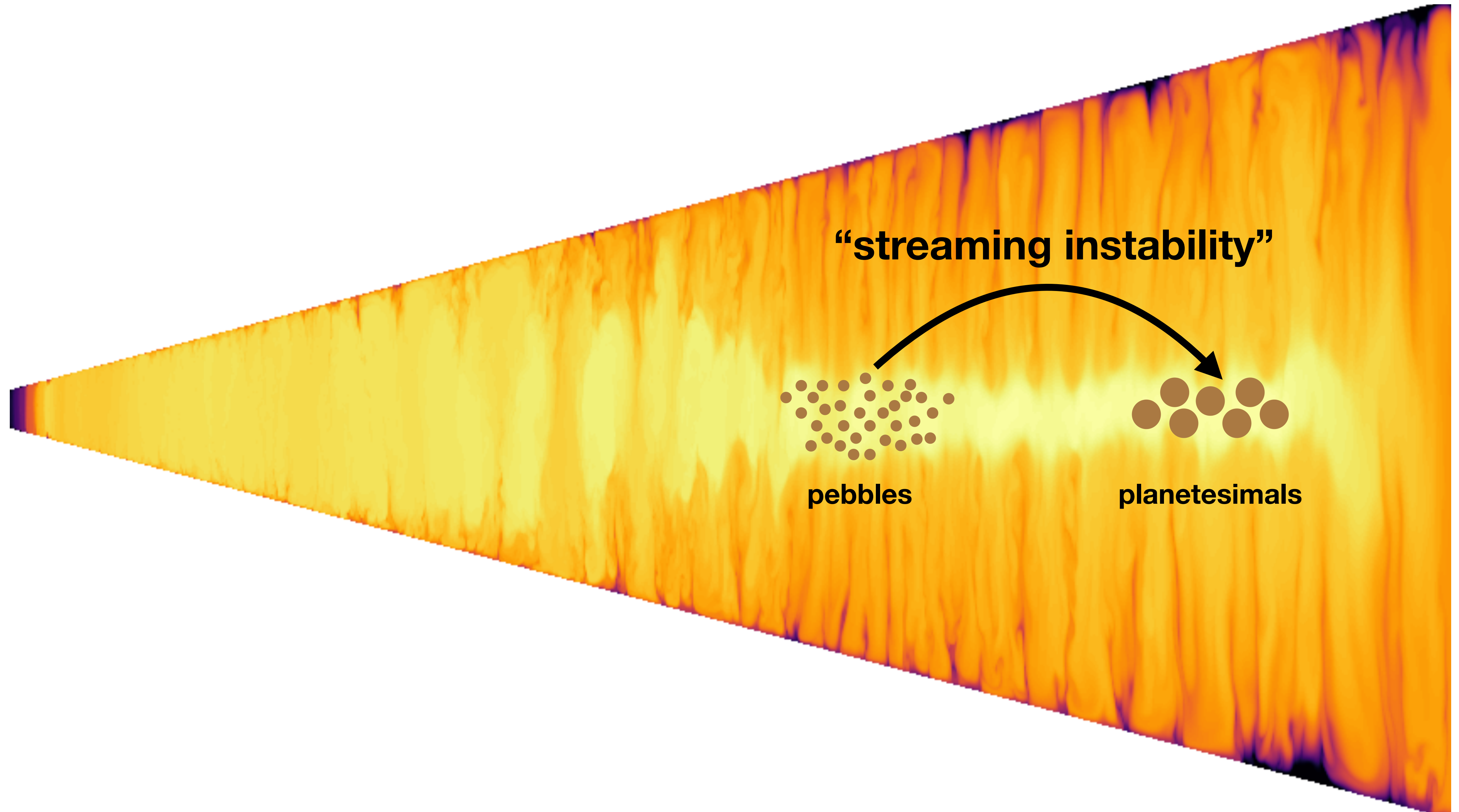


# Dust rings or vortices?



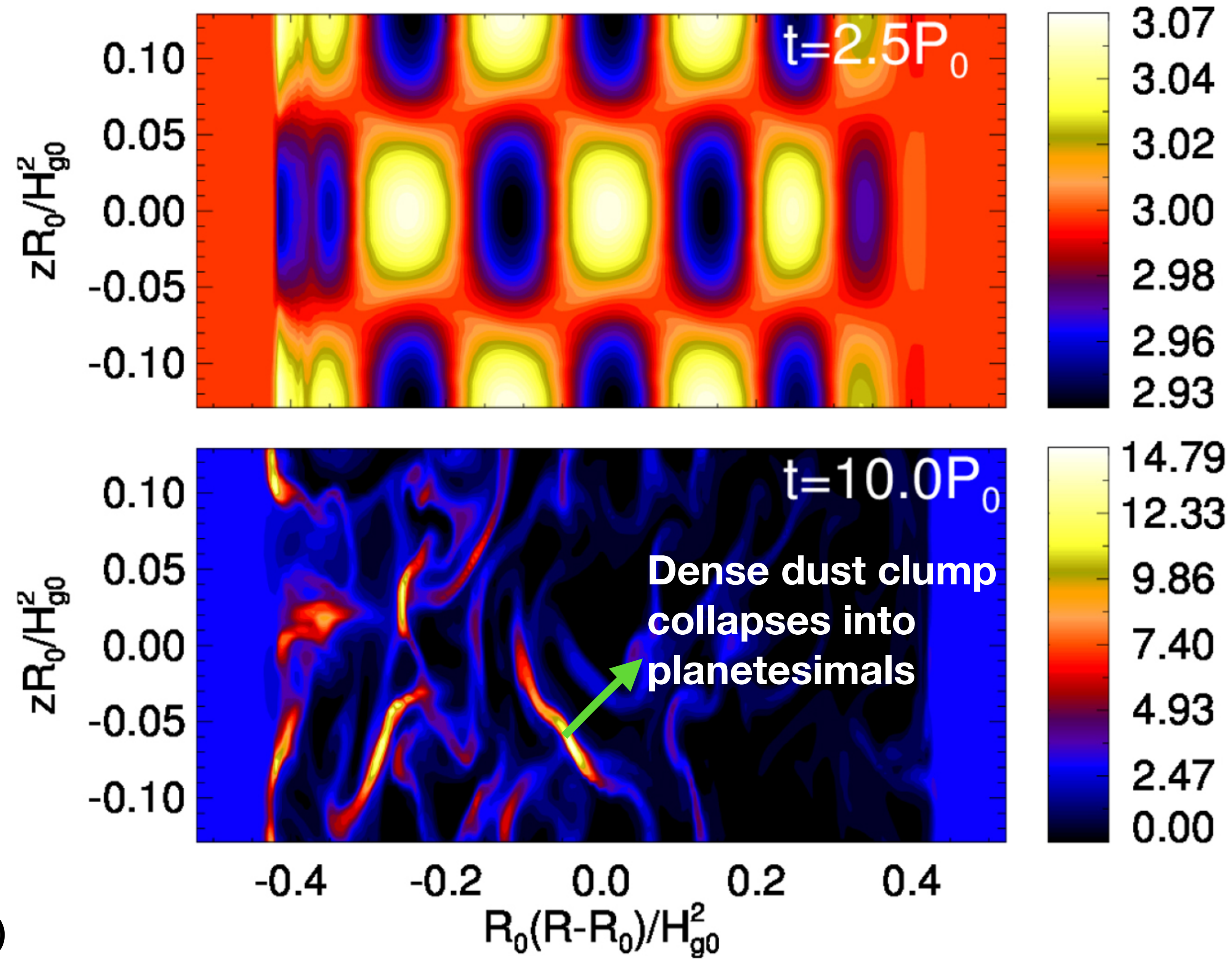


# Planetesimal formation in the mid-plane



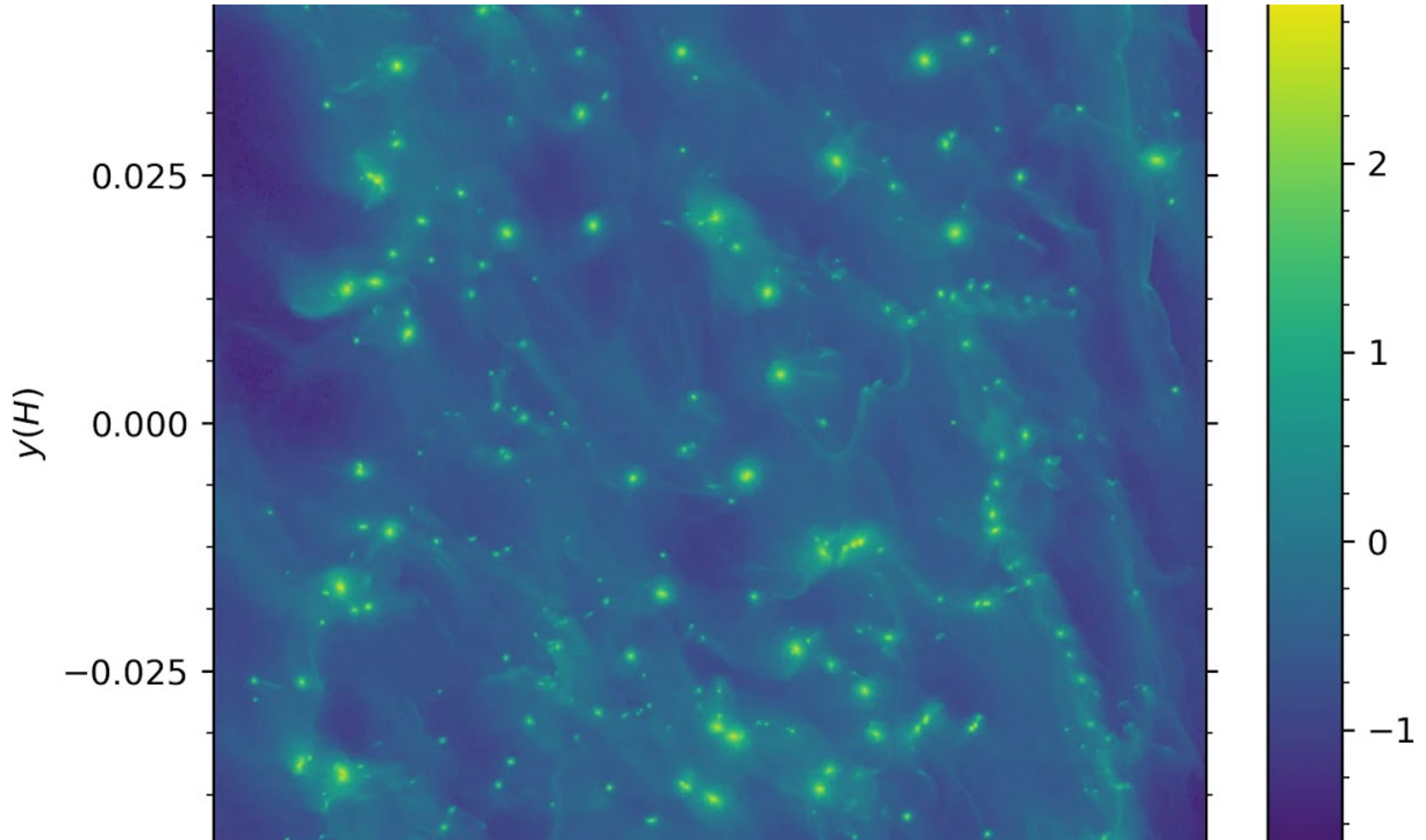


# Streaming instability of dusty gas





# State-of-the-art simulations (Nesvorný et al., 2020)



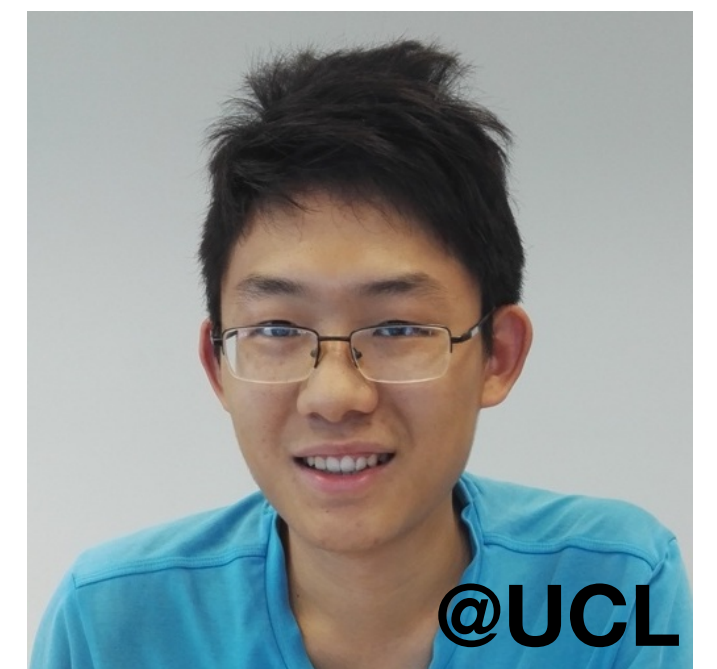
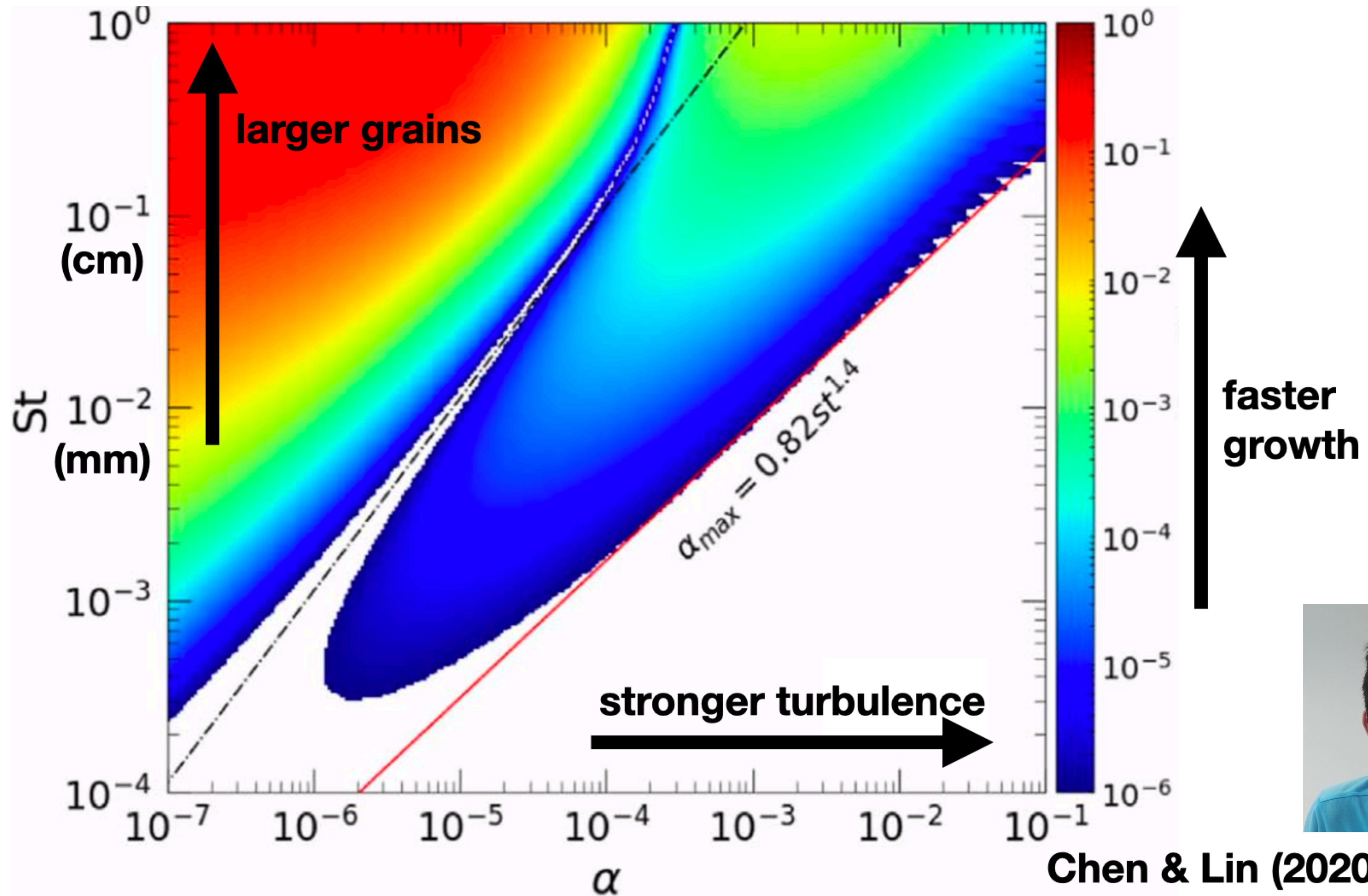


# Generalizations of the ideal SI

- **disk is non-turbulent** → **Chen & Lin (2020)**
- **disk has no vertical structure** → **Lin (2021)**
- **disk is unmagnetized** → **Lin & Hsu (2022)  
Hsu & Lin (2022)**
- **disk is isothermal** → **Lehmann & Lin (2023)**



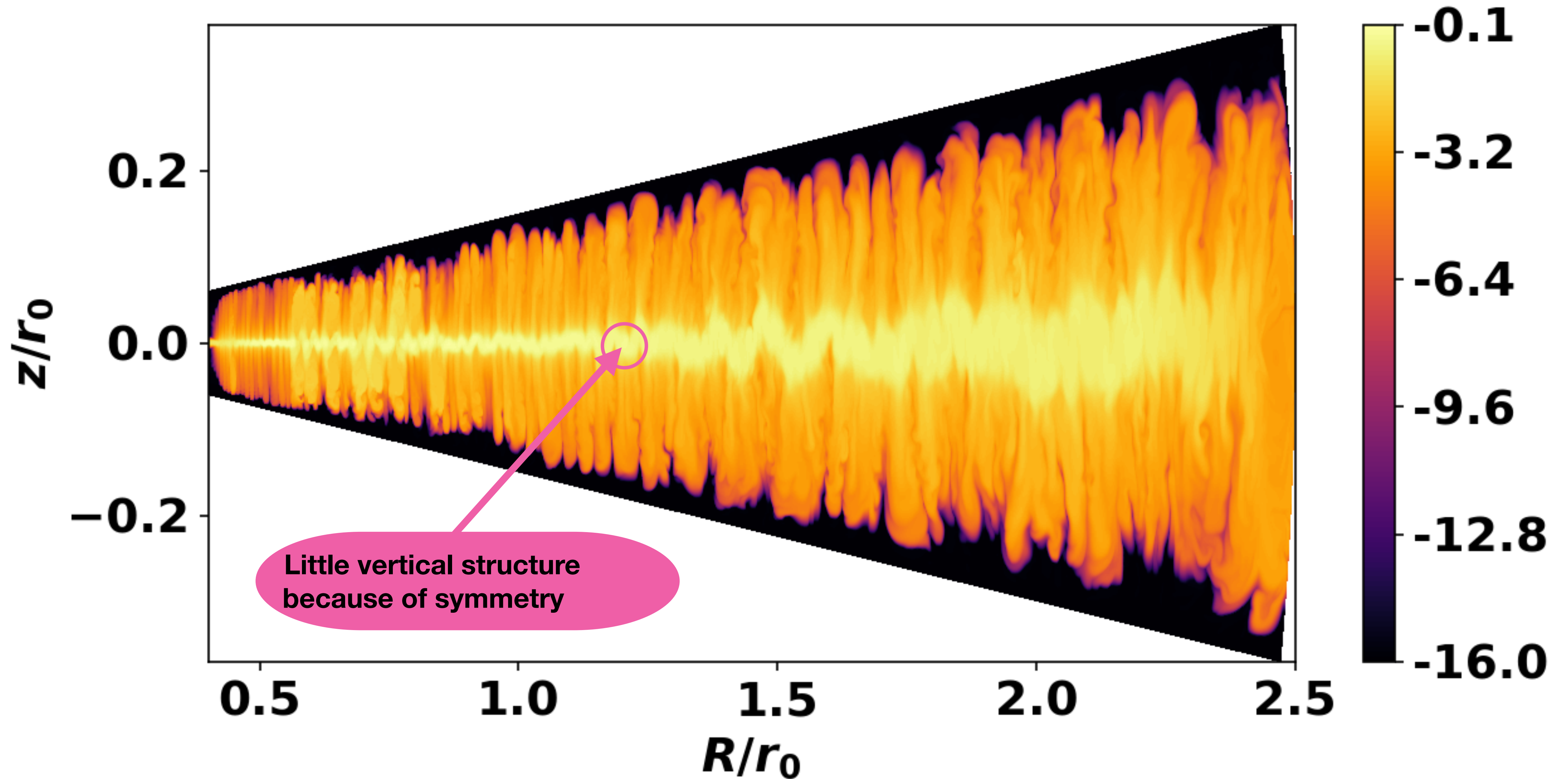
# Streaming instability is easily killed by turbulent viscosity



Chen & Lin (2020)

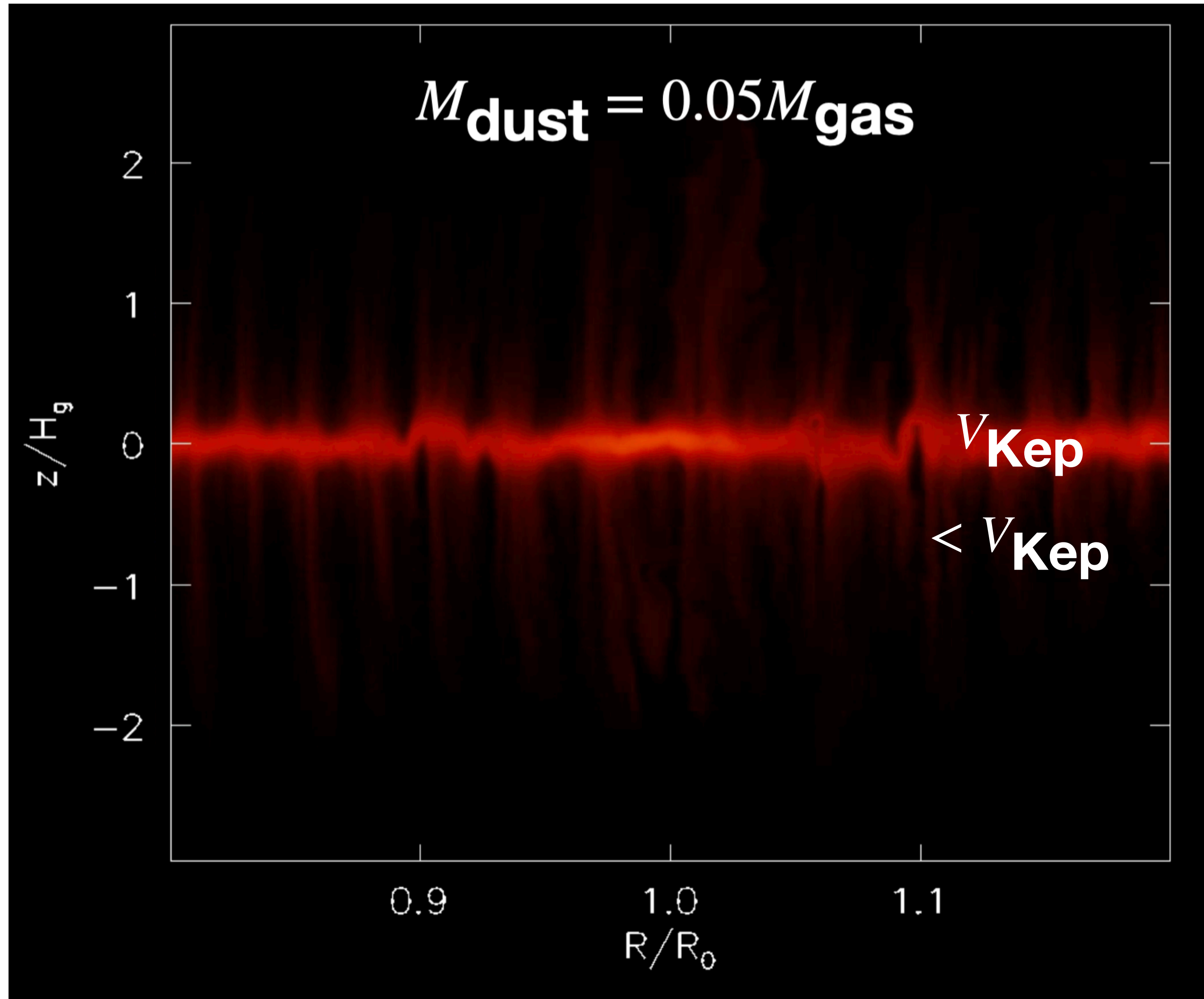


# SI analyses use unstratified disk models





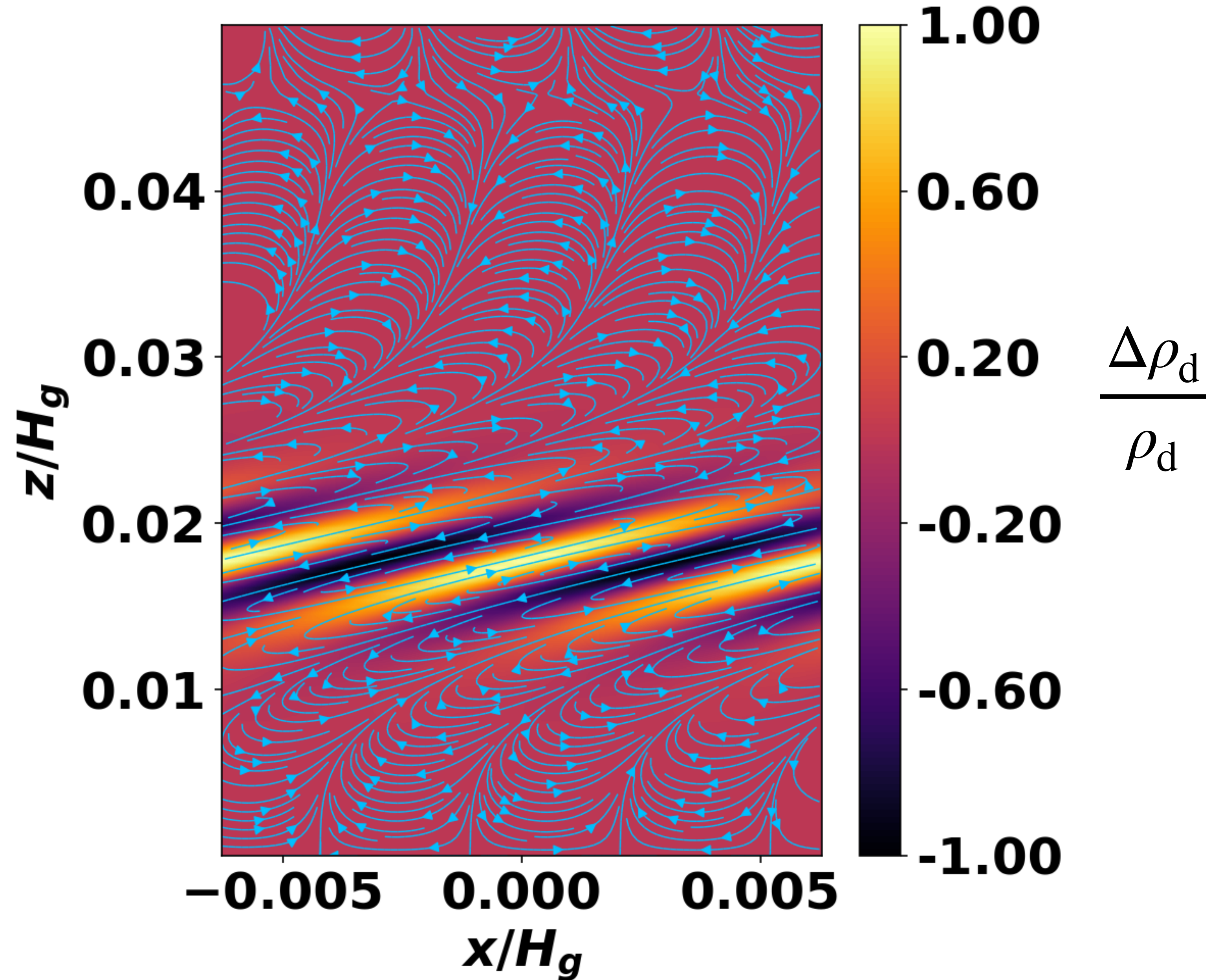
# Stratified dust layers





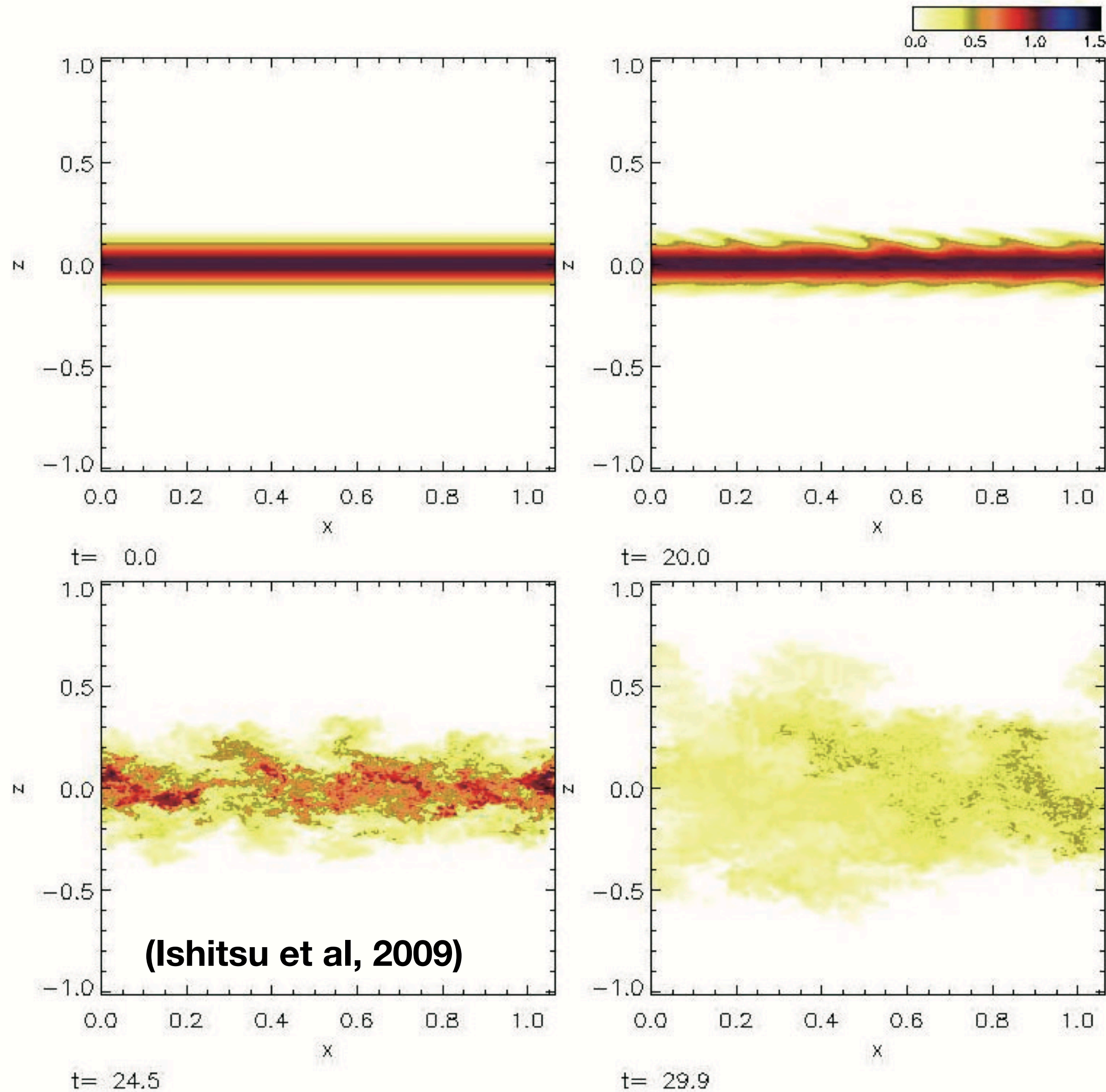
# “Vertically shearing SI” in stratified disks

$$S_{\text{grow}} \sim \Omega$$





# Vertically shearing SIs grow fast but...



dust layer  
dispersed



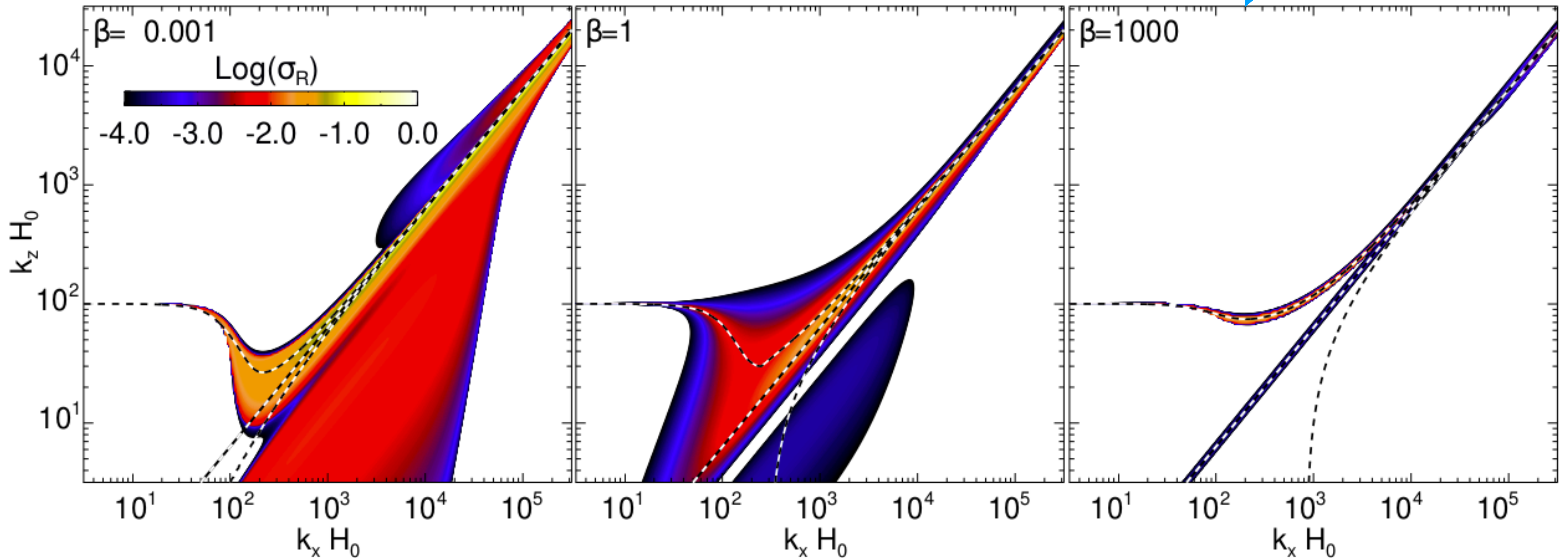


# SI in non-isothermal disks

fast cooling

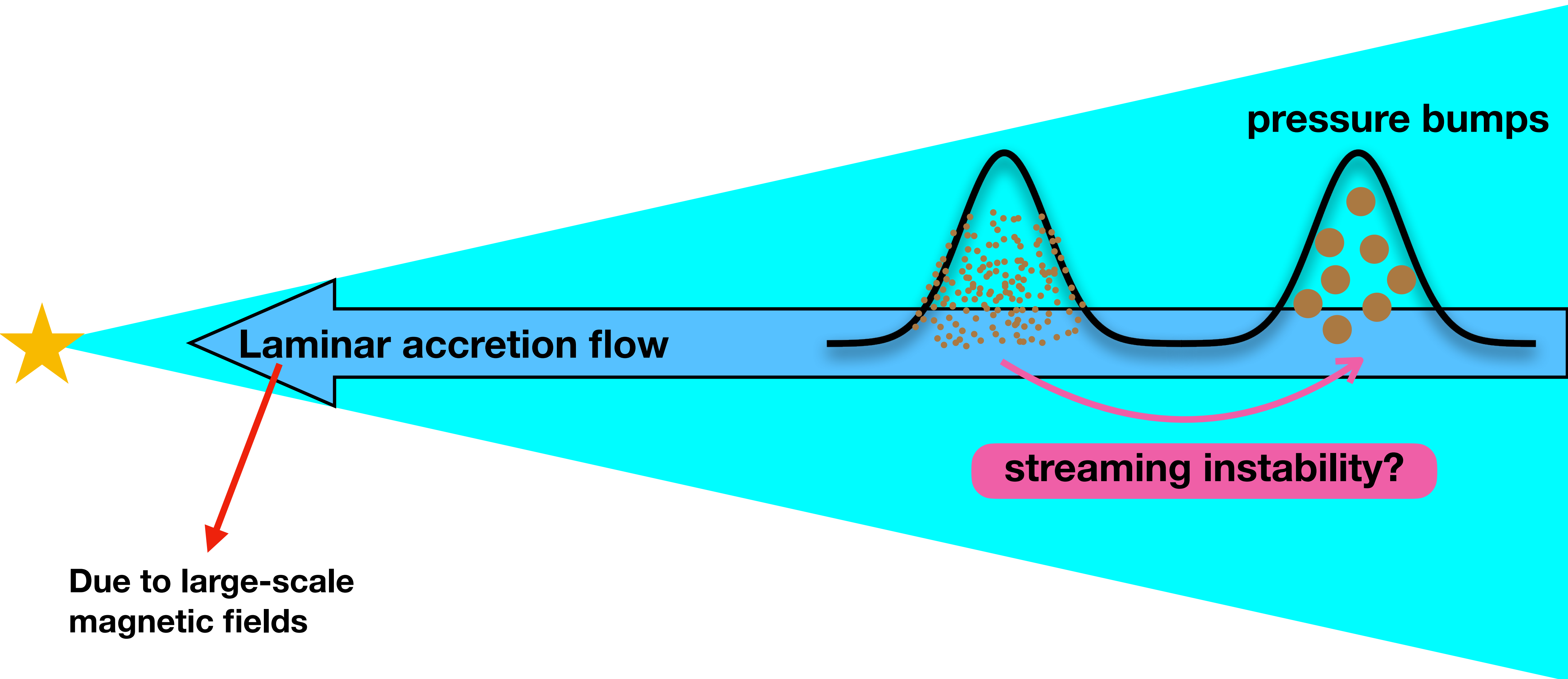


slow cooling





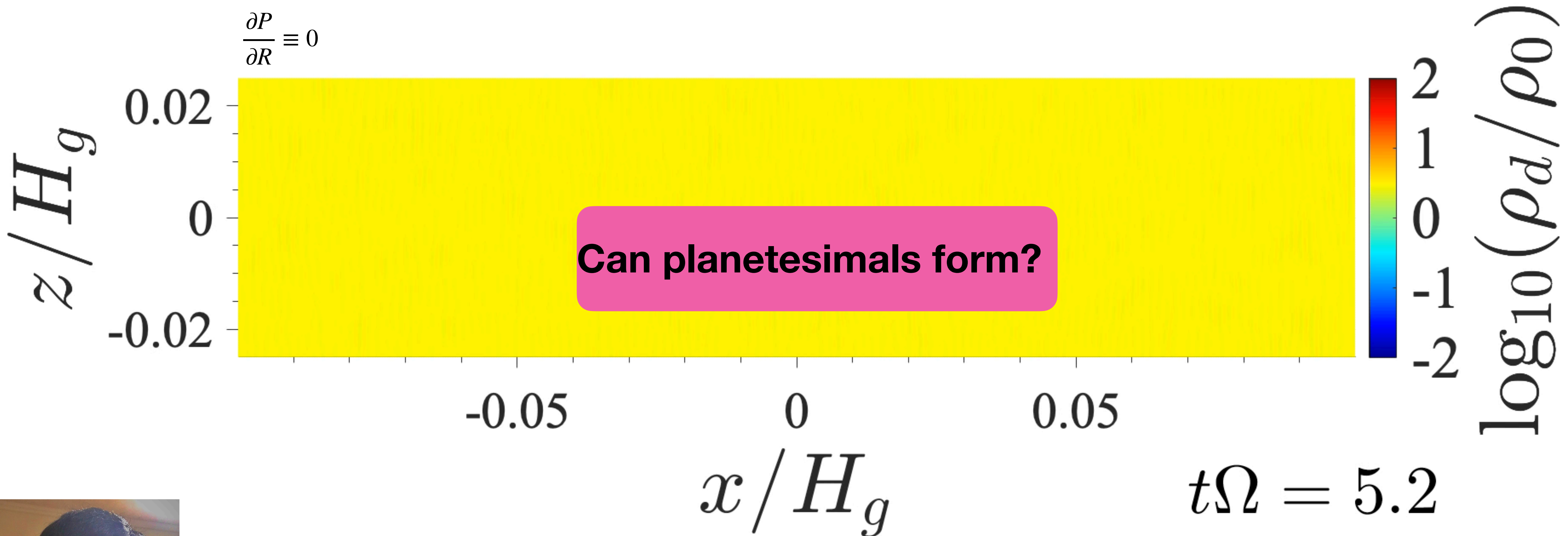
# Can modern disk models help?



(e.g. Riols et al. 2020, Cui & Bai 2021)

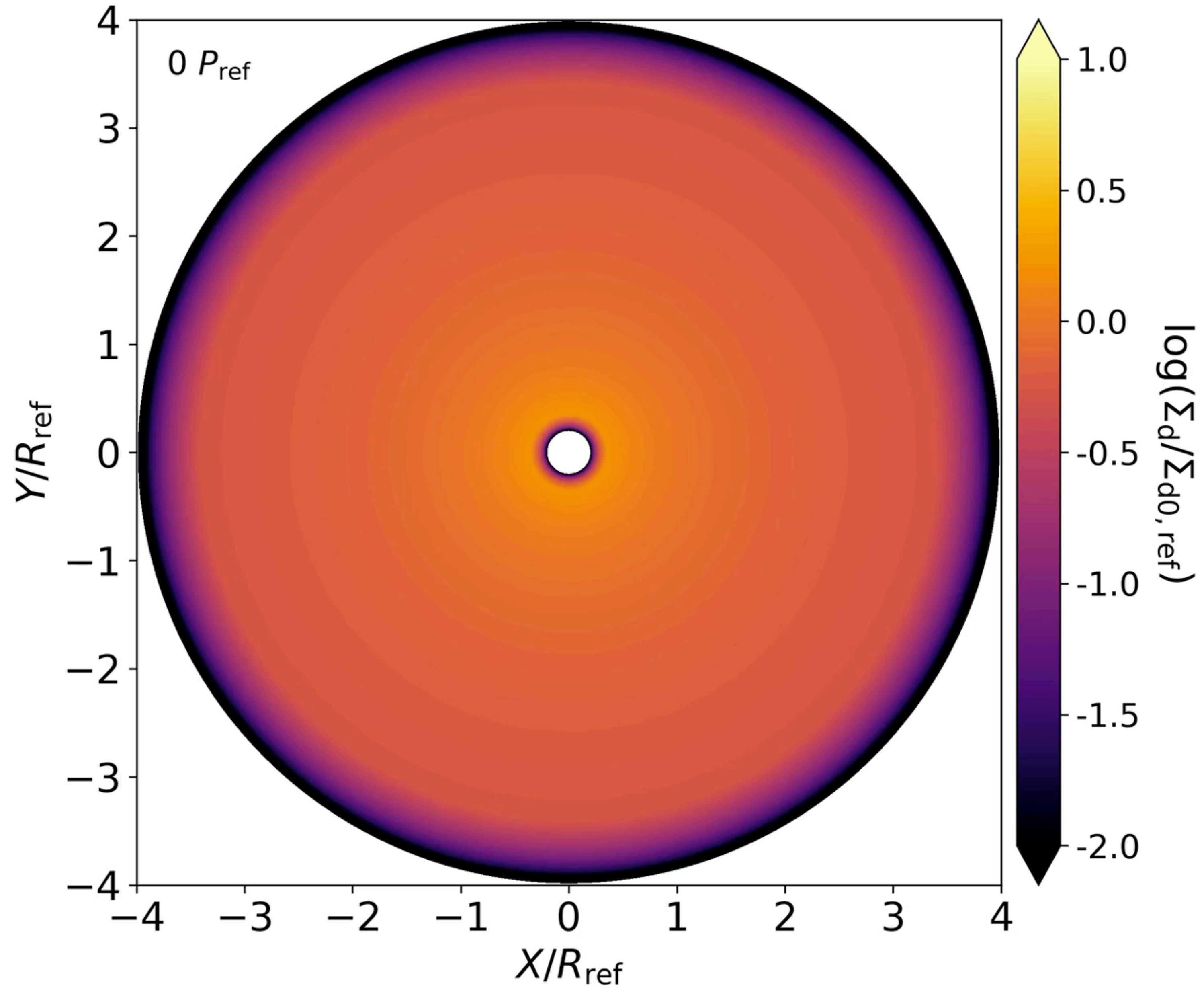


# SI in a pressure bump with a background accretion flow



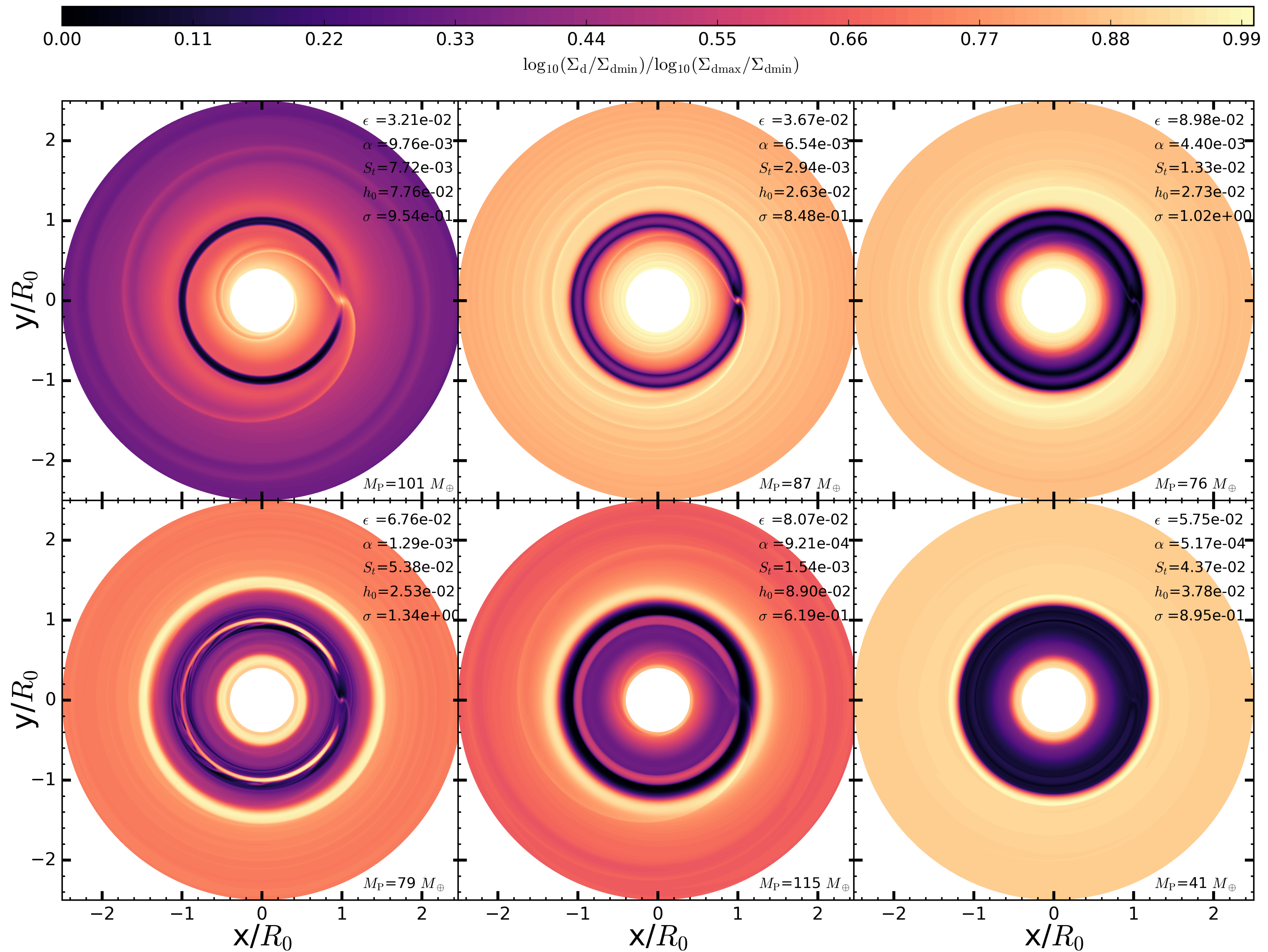


# Planets form somehow, so what's next?





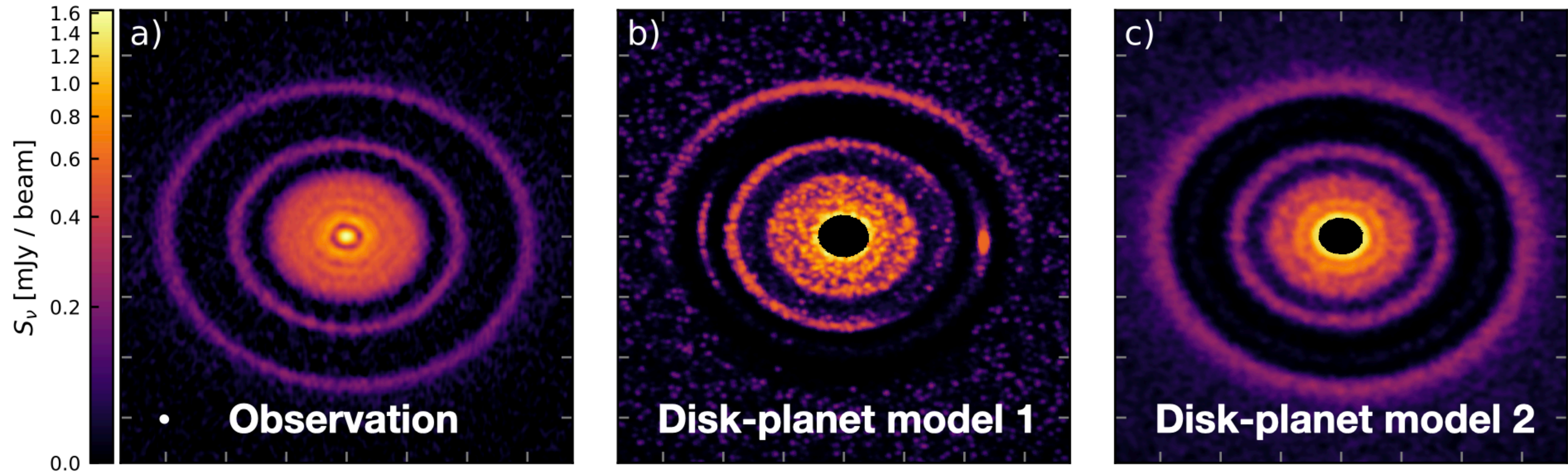
# Disk-planet morphology





# Detecting unseen planets via disk morphology

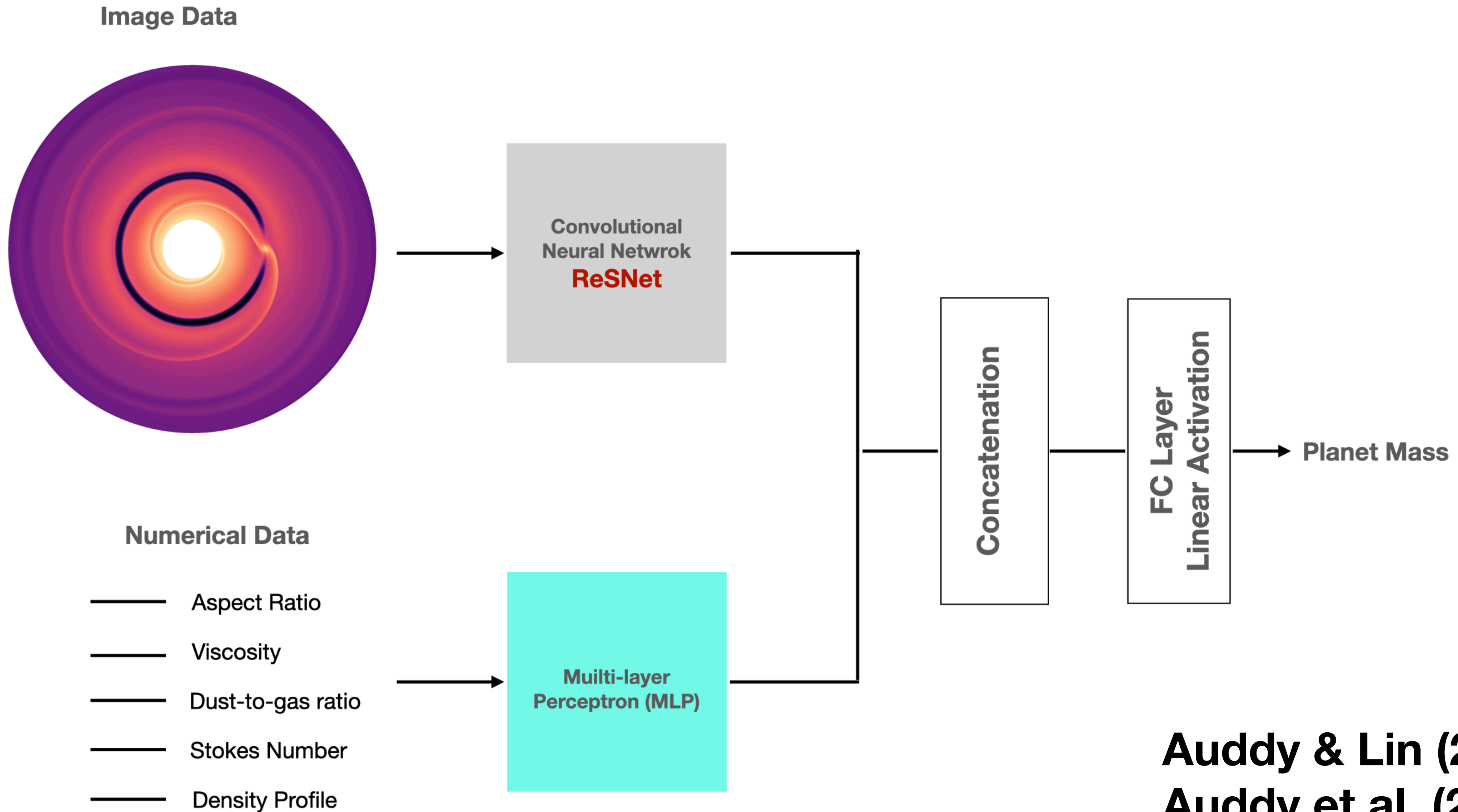
AS 209, DSHARP (Zhang et al. 2018)



But each observation requires many simulations



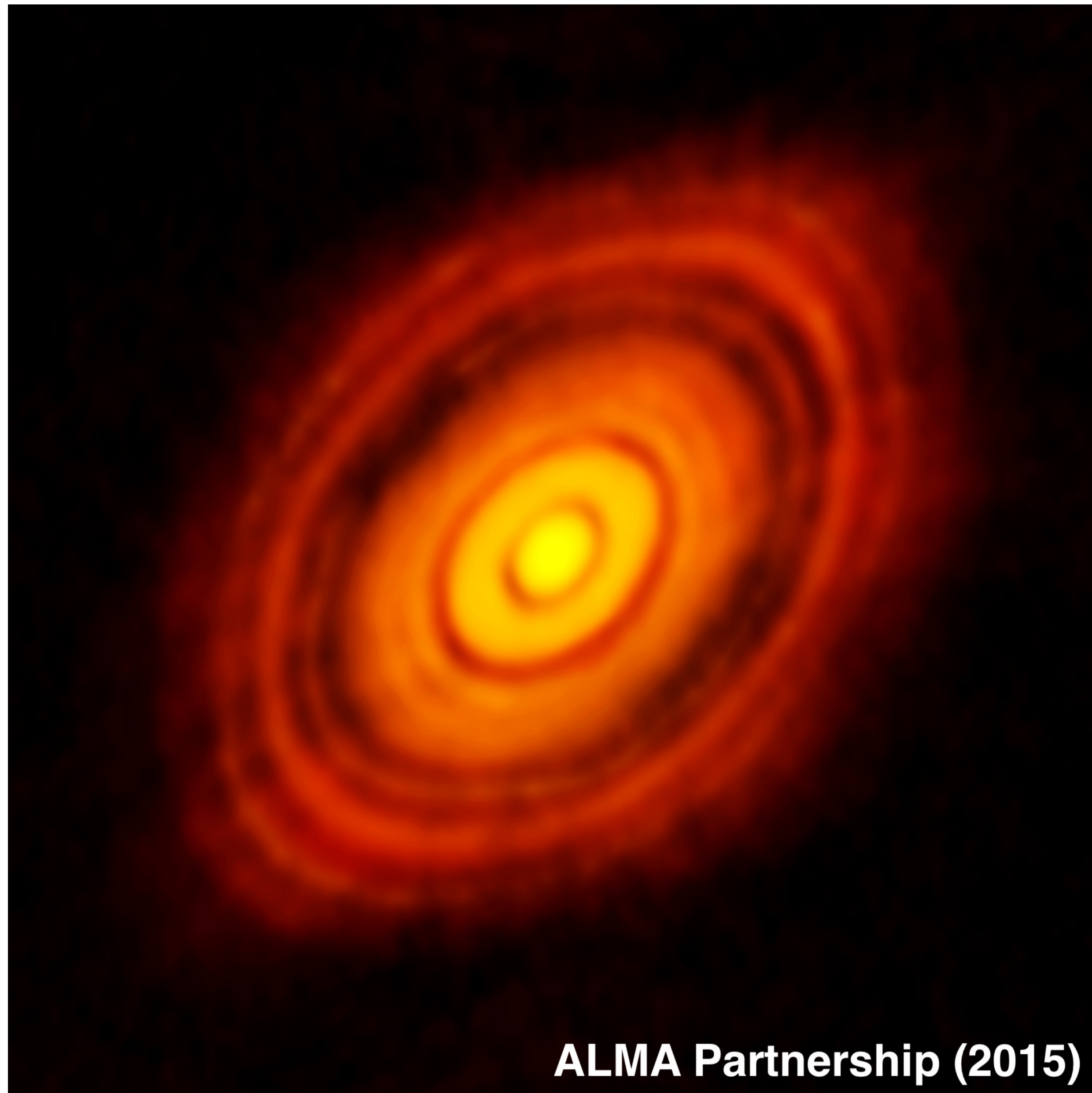
# Modeling planet gaps with artificial/convolutional NN



**Auddy & Lin (2020)**  
**Auddy et al. (2021)**  
**Auddy et al. (2022)**



# Estimating planet masses around HL Tau



ALMA Partnership (2015)

- **Hydrodynamic simulations**

(Dong et al. 2015, Dipierro et al. 2015, Jin et al. 2016)

$$M_p = 0.2 - 0.35M_J, 0.17 - 0.27M_J, 0.2 - 0.55M_J$$

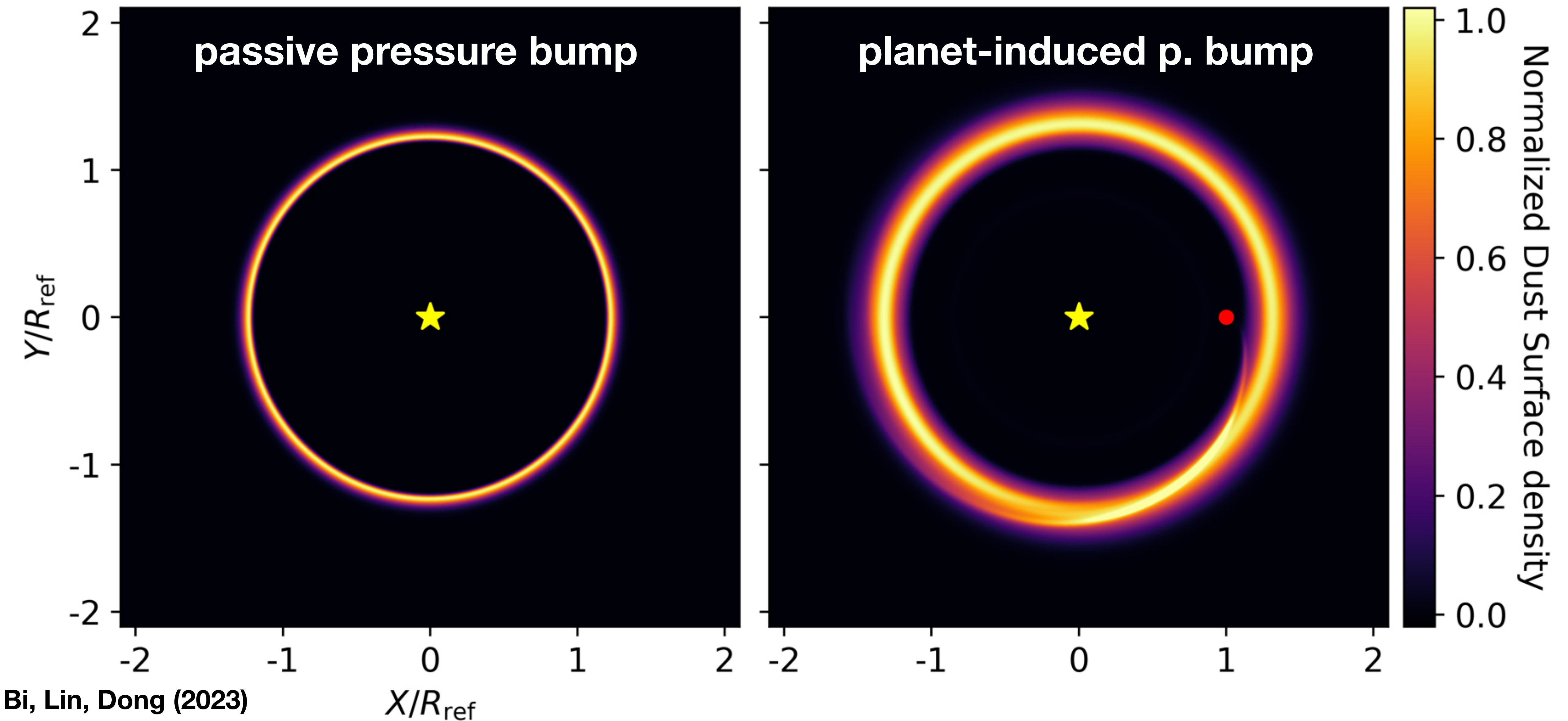
- **Disk-Planet Neural Network**

(Auddy & Lin, 2020)

$$M_p = 0.24M_J, 0.21M_J, 0.2M_J$$

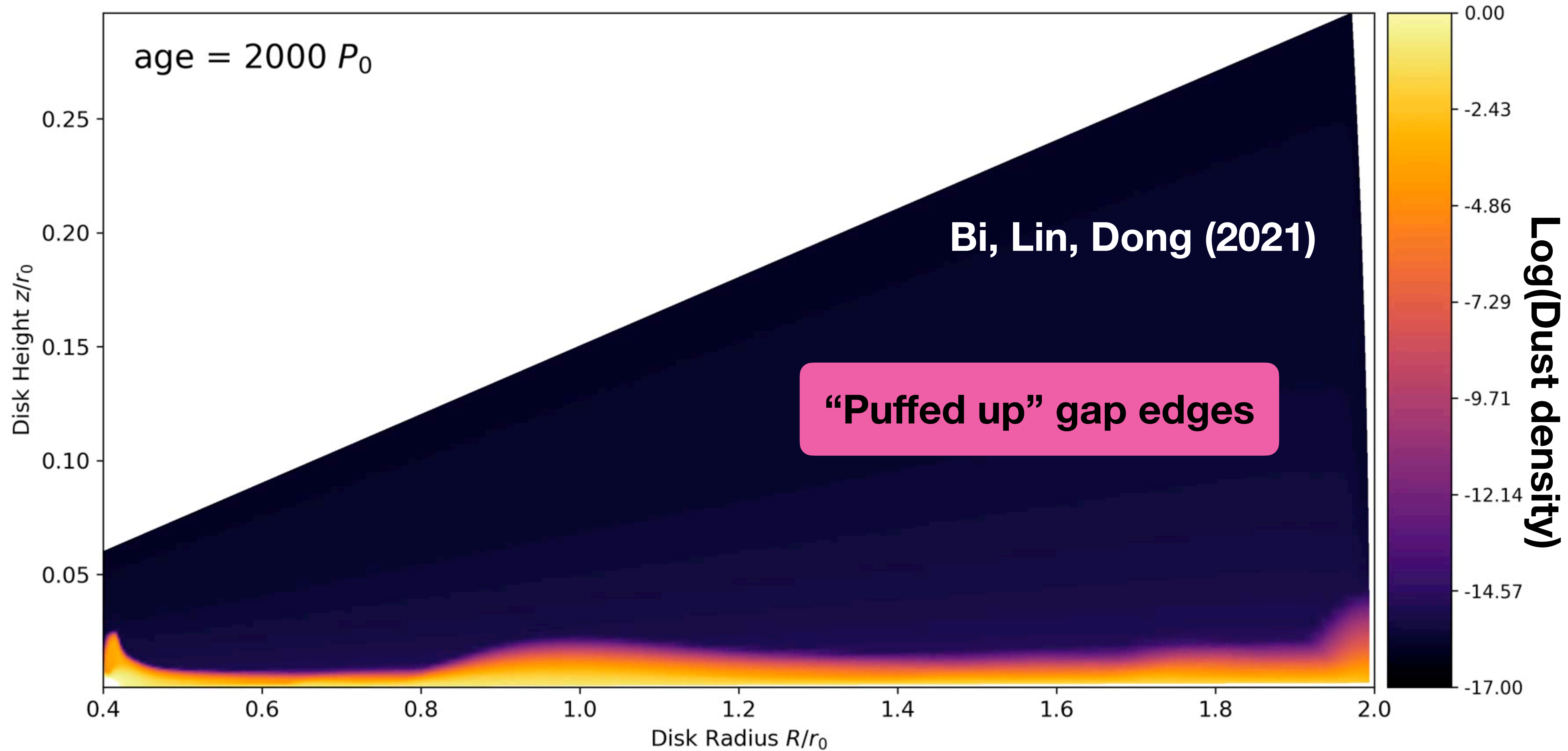


# Are all observed dust rings caused by planets?



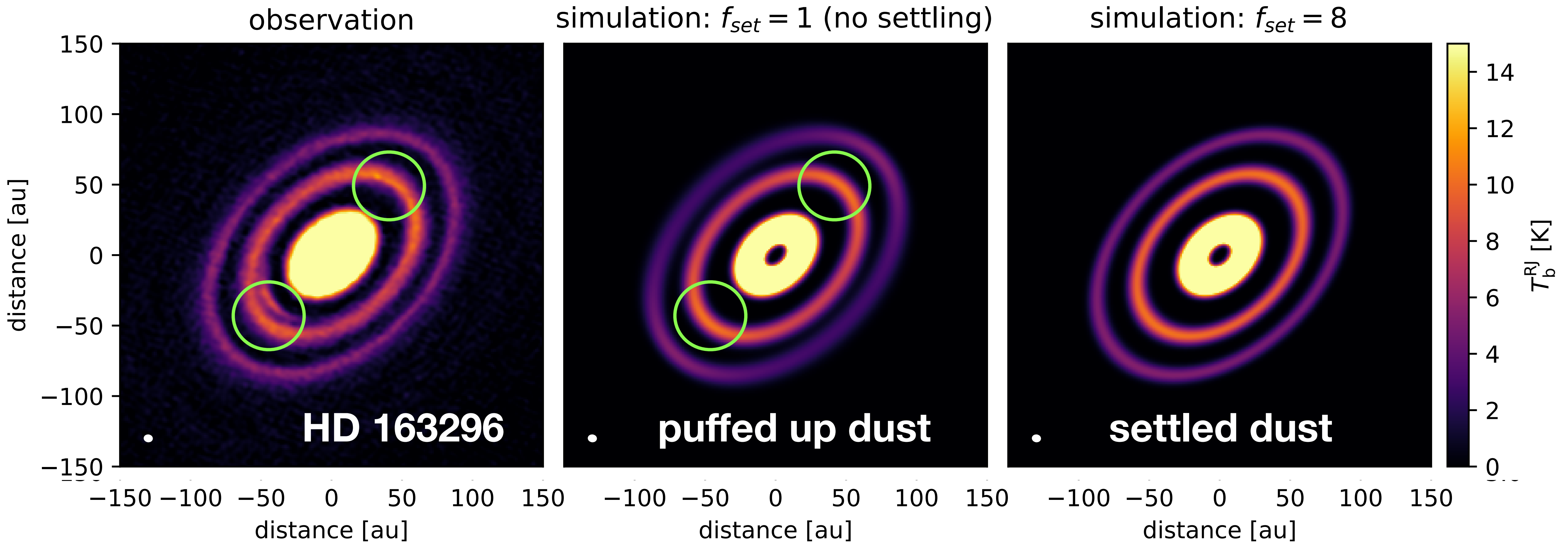


# Three-dimensional models





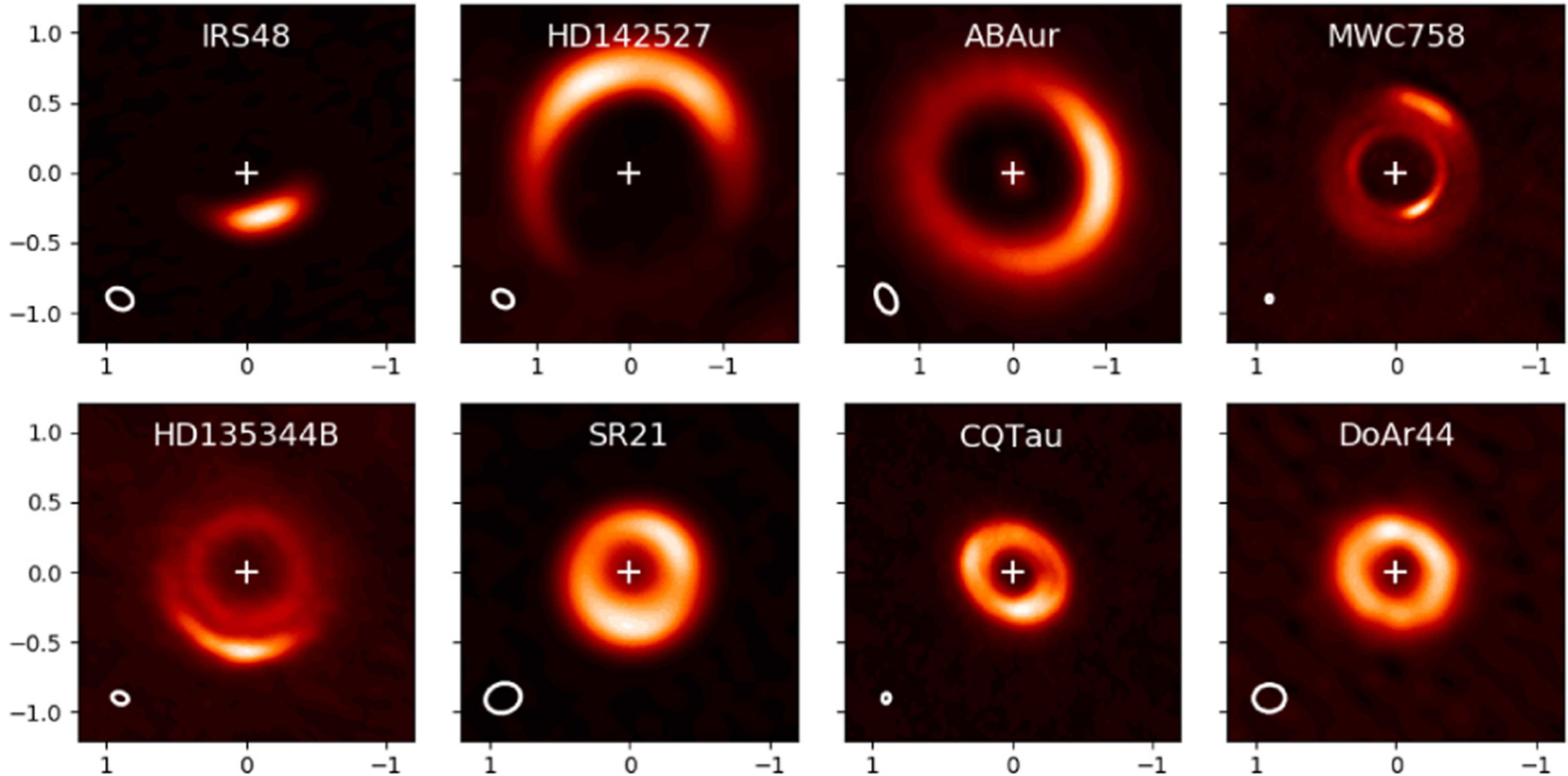
# Puffed up rings in observations: Sign of planets?





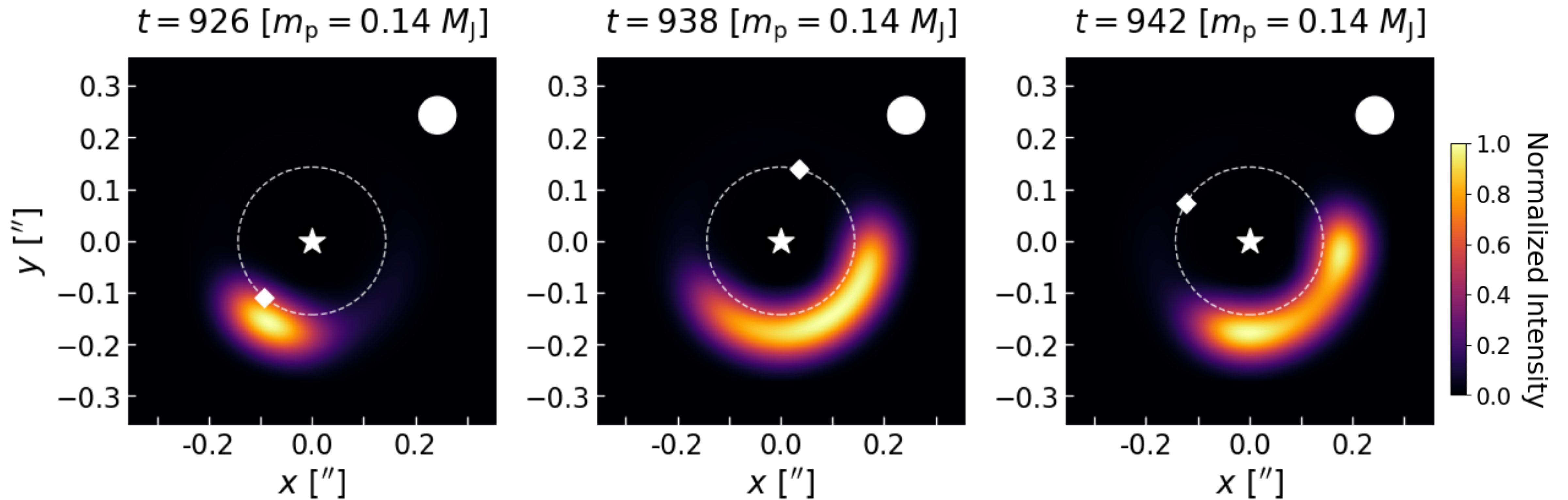
# Some observed disks are asymmetric

(van de Marel, et al. 2021)





# Can planets also explain them?

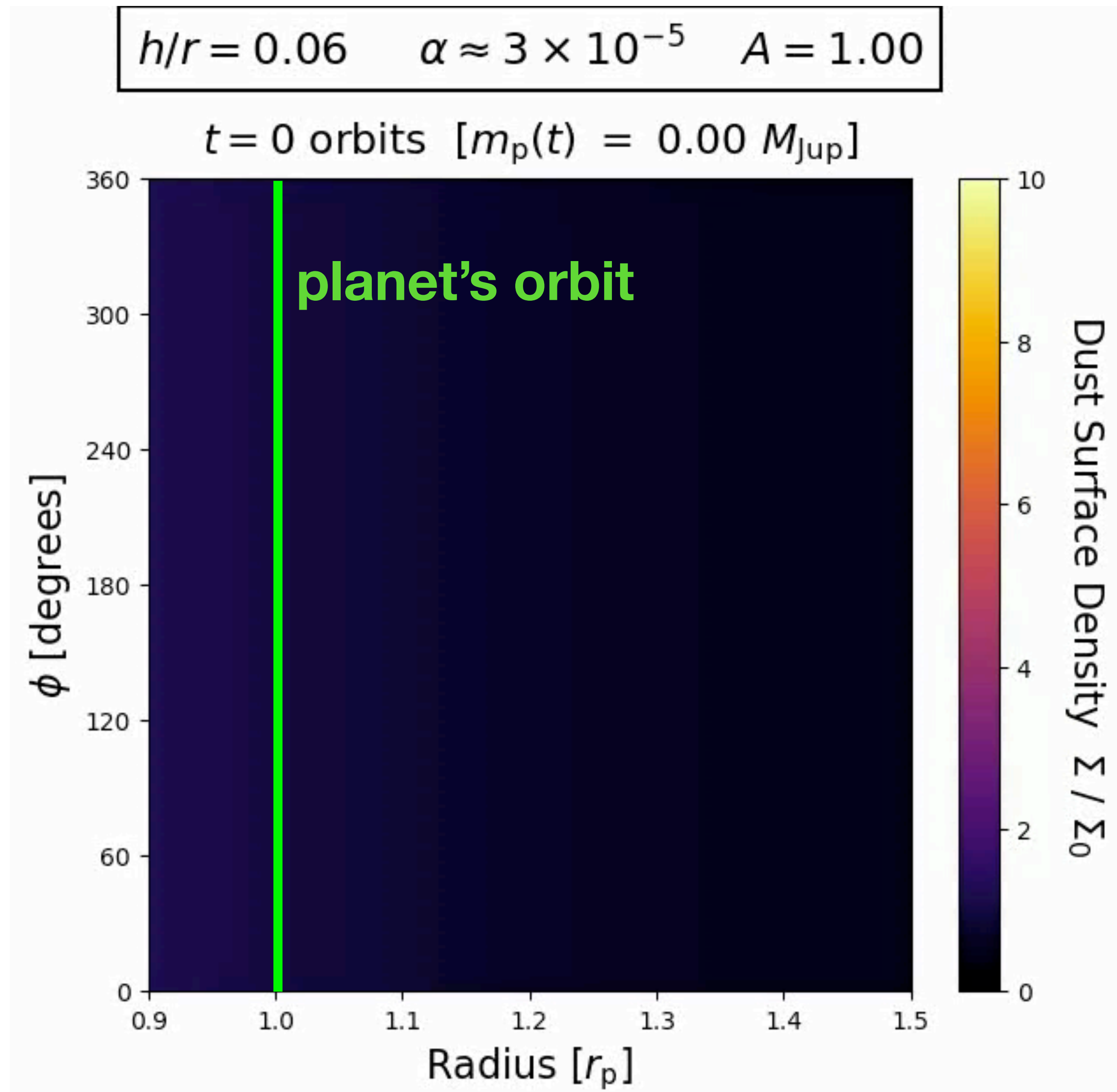


**Vortex formation due to the “Rossby wave” instability**

(Hammer, Lin, et al. 2021)



# Planet-induced, compact vortices in turbulent disks





# Summary

- **We are in a golden age for planetary sciences**
- **The streaming instability is the leading theory for planetesimal formation**
- **Modern disk models may challenge the SI or provide new pathways to planetesimal formation**
- **Planet-disk interaction can be used to reveal or rule out hidden planets in observations of protoplanetary disks**

**Thank you**  
 **@linminkai**